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<b>(21) International Application Number:</b> PCT/US99/08711 <b>(22) International Filing Date:</b> 20 April 1999 (20.04.99)  <b>(30) Priority Data:</b> 60/082,581                      20 April 1998 (20.04.98)                      US  <b>(71)(72) Applicants and Inventors:</b> UMANA, Pablo [CH/CH]; Milchbuckstrasse 3, CH-8057 Zurich (CH). JEAN-MAIRET, Joel [CH/CH]; Birchstrasse 59, CH-8057 Zurich (CH). BAILEY, James, E. [US/CH]; Winkelwiese 6, CH-8001 Zurich (CH).  <b>(74) Agents:</b> ABRAMS, Samuel, B. et al.; Pennie & Edmonds LLP, 1155 Avenue of the Americas, New York, NY 10036 (US).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.          Before the expiration of the time limit for amending the          claims and to be republished in the event of the receipt of          amendments.</i>
<b>(54) Title:</b> GLYCOSYLATION ENGINEERING OF ANTIBODIES FOR IMPROVING ANTIBODY-DEPENDENT CELLULAR CYTOTOXICITY		
<b>(57) Abstract</b>  The present invention relates to the field of glycosylation engineering of proteins. More particularly, the present invention is directed to the glycosylation engineering of proteins to provide proteins with improved therapeutic properties, e.g., antibodies, antibody fragments, or a fusion protein that includes a region equivalent to the Fc region of an immunoglobulin, with enhanced Fc-mediated cellular cytotoxicity.		

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## GLYCOSYLATION ENGINEERING OF ANTIBODIES FOR IMPROVING ANTIBODY-DEPENDENT CELLULAR CYTOTOXICITY

### I. RELATION TO OTHER APPLICATIONS

- 5 This application claims priority to United States Provisional Application  
Serial No. 60/082,581, filed April 20, 1998, incorporated herein by reference in its  
entirety.

### II. FIELD OF THE INVENTION

- 10 The present invention relates to the field of glycosylation engineering of  
proteins. More particularly, the present invention relates to glycosylation engineering  
to generate proteins with improved therapeutic properties, including antibodies with  
enhanced antibody-dependent cellular cytotoxicity.

### 15 III. BACKGROUND OF THE INVENTION

- Glycoproteins mediate many essential functions in human beings, other  
eukaryotic organisms, and some prokaryotes, including catalysis, signalling, cell-cell  
communication, and molecular recognition and association. They make up the majority  
of non-cytosolic proteins in eukaryotic organisms. Lis and Sharon, 1993, *Eur. J.*  
20 *Biochem.* 218:1-27. Many glycoproteins have been exploited for therapeutic purposes,  
and during the last two decades, recombinant versions of naturally-occurring, secreted  
glycoproteins have been a major product of the biotechnology industry. Examples  
include erythropoietin (EPO), therapeutic monoclonal antibodies (therapeutic mAbs),  
tissue plasminogen activator (tPA), interferon- $\beta$ , (IFN- $\beta$ ), granulocyte-macrophage  
25 colony stimulating factor (GM-CSF), and human chorionic gonadotrophin (hCH).  
Cumming *et al.*, 1991, *Glycobiology* 1:115-130.

- The oligosaccharide component can significantly affect properties relevant to  
the efficacy of a therapeutic glycoprotein, including physical stability, resistance to  
protease attack, interactions with the immune system, pharmacokinetics, and specific  
30 biological activity. Such properties may depend not only on the presence or absence,  
but also on the specific structures, of oligosaccharides. Some generalizations between  
oligosaccharide structure and glycoprotein function can be made. For example, certain  
oligosaccharide structures mediate rapid clearance of the glycoprotein from the

bloodstream through interactions with specific carbohydrate binding proteins, while others can be bound by antibodies and trigger undesired immune reactions. Jenkins *et al.*, 1996, *Nature Biotechnol.* 14:975-981.

Mammalian cells are the preferred hosts for production of therapeutic glycoproteins, due to their capability to glycosylate proteins in the most compatible form for human application. Cumming, 1991, *supra*; Jenkins *et al.*, 1996, *supra*. Bacteria very rarely glycosylate proteins, and like other types of common hosts, such as yeasts, filamentous fungi, insect and plant cells, yield glycosylation patterns associated with rapid clearance from the blood stream, undesirable immune interactions, and in some specific cases, reduced biological activity. Among mammalian cells, Chinese hamster ovary (CHO) cells have been most commonly used during the last two decades. In addition to giving suitable glycosylation patterns, these cells allow consistent generation of genetically stable, highly productive clonal cell lines. They can be cultured to high densities in simple bioreactors using serum-free media, and permit the development of safe and reproducible bioprocesses. Other commonly used animal cells include baby hamster kidney (BHK) cells, NS0- and SP2/0-mouse myeloma cells. More recently, production from transgenic animals has also been tested. Jenkins *et al.*, 1996, *supra*.

The glycosylation of recombinant therapeutic proteins produced in animal cells can be engineered by overexpression of glycosyl transferase genes in host cells. Bailey, 1991, *Science* 252:1668-1675. However, previous work in this field has only used constitutive expression of the glycoprotein-modifying glycosyl transferase genes, and little attention has been paid to the expression level.

#### IV. SUMMARY OF THE INVENTION

The present invention is directed, generally, to host cells and methods for the generation of proteins having an altered glycosylation pattern resulting in improved therapeutic values. In one specific embodiment, the invention is directed to host cells that have been engineered such that they are capable of expressing a preferred range of a glycoprotein-modifying glycosyl transferase activity which increases complex N-linked oligosaccharides carrying bisecting GlcNAc. In other embodiments, the present invention is directed to methods for the generation of modified glycoforms of glycoproteins, for example antibodies, including whole antibody molecules, antibody

fragments, or fusion proteins that include a region equivalent to the Fc region of an immunoglobulin, having an enhanced Fc-mediated cellular cytotoxicity, and glycoproteins so generated. The invention is based, in part, on the inventors' discovery that there is an optimal range of glycoprotein-modifying glycosyl transferase expression for the maximization of complex N-linked oligosaccharides carrying bisecting GlcNAc.

More specifically, the present invention is directed to a method for producing altered glycoforms of proteins having improved therapeutic values, *e.g.*, an antibody which has an enhanced antibody dependent cellular cytotoxicity (ADCC), in a host cell. The invention provides host cells which harbor a nucleic acid encoding the protein of interest, *e.g.*, an antibody, and at least one nucleic acid encoding a glycoprotein-modifying glycosyl transferase. Further, the present invention provides methods and protocols of culturing such host cells under conditions which permit the expression of said protein of interest, *e.g.*, the antibody having enhanced antibody dependent cellular cytotoxicity. Further, methods for isolating the so generated protein having an altered glycosylation pattern, *e.g.*, the antibody with enhanced antibody dependent cellular cytotoxicity, are described.

Furthermore, the present invention provides alternative glycoforms of proteins having improved therapeutic properties. The proteins of the invention include antibodies with an enhanced antibody-dependent cellular cytotoxicity (ADCC), which have been generated using the disclosed methods and host cells.

## V. BRIEF DESCRIPTION OF THE DRAWINGS

FIGURE 1 depicts the representation of typical Fc-associated oligosaccharide structures.

FIGURE 2 depicts a Western blot analysis of tetracycline-regulated expression of GnT III in two different (TA-producing CHO clones. CHO2 (lanes A and B) and CHO17 (lanes C and D) cells were transfected with the pUDH10-3GnTIII expression vector and cultured for 36 h in the absence (lanes A and C) or presence of tetracycline, at a concentration of 400 ng/ml (lanes B and D). Cell lysates were then prepared for western blot analysis probing with an antibody (9E10), which recognizes specifically the c-myc tag added to GnT III at its carboxy-terminus.

FIGURE 3 depicts determination of the range of tetracycline concentrations where myc-tagged GnT III expression can be controlled. CHO17 cells were transfected

with the pUDH10-3-GnTIII<sub>m</sub> expression vector and then cultured for 48h in the presence of the indicated concentrations of tetracycline. GnT III levels in cell lysates from these cultures were compared using western blot analysis. GnT III was detected via the c-myc tag using 9E10 antibody.

5           FIGURES 4A through 4B depict screening of CHO clones for stable, tetracycline-regulated expression of GnT V (FIGURE 4A) or myc-tagged GnT III (FIGURE 4B) glycosyltransferases by western blot analysis. CHO17 cells were co-transfected with a vector for expression of puromycin resistance (pPUR) and either pUHD10-3GnTV (FIGURE 4A) or pUDH10-3GnTIII<sub>m</sub> (FIGURE 4B) and stable CHO  
10 clones were selected for resistance to puromycin (7.5  $\mu$ /ml), in the presence of tetracycline (2  $\mu$ g/ml). Eight clones (1-8) for each glycosyltransferase were cultured for 48 h in the absence or presence (+) of tetracycline (2  $\mu$ g/ml) and analysed by western blot using either an anti-GnT V antibody (FIGURE 4A) or an anti-myc (9E10) antibody (FIGURE 4B).

15           FIGURES 5A and 5B depict verification of activity of heterologous GnT V (FIGURE 5A) and GnT III (FIGURE 5B) glycosyltransferases *in vivo* by lectin blot analysis. Cellular glycoproteins from various stable clones (numbered as in FIGURE 4), cultured in the absence or presence (+) of tetracycline (2  $\mu$ g/ml), were resolved by SDS-PAGE, blotted to a membrane, and probed with either L-PHA (FIGURE 5A) or E-  
20 PHA (FIGURE 5B) lectins. These lectins bind with higher affinity to the oligosaccharide products of reactions catalyzed by GnT V and GnT III, respectively, than to the oligosaccharide substrates of these reactions. A molecular weight marker (MWM) was run in parallel. A comparison of lectin blots in FIGURES 5A and 5B indicates a broader range of substrates, among the endogenous CHO cell glycoproteins,  
25 for GnT III (FIGURE 5B) than for GnT V (FIGURE 5A).

FIGURES 6A through 6D depict inhibition of cell growth upon glycosyltransferase overexpression. CHO-tet-GnTIII<sub>m</sub> cells were seeded to 5-10% confluency and cultured in the absence (FIGURES 6A and 6B) or presence (FIGURES 6C and 6D) of tetracycline. Cultures were photographed 45 (FIGURES 6A and 6C) and  
30 85 (FIGURES 6B and 6D) hours after seeding.

FIGURE 7 depicts sequences of oligonucleotide primers used in PCRs for the construction of the chCE7 heavy chain gene. Forward and reverse primers are identified by the suffixes ".fwd" and ".rev", respectively. Overlaps between different

primers, necessary to carry out secondary PCR steps using the product of a primary PCR step as a template, are indicated. Restriction sites introduced, sequences annealing to the CE7 chimeric genomic DNA, and the synthetic leader sequence introduced, are also indicated.

FIGURE 8 depicts sequences of oligonucleotide primers used in PCRs for the construction of the chCE7 light chain gene. Forward and reverse primers are identified by the suffixes ".fwd" and ".rev" respectively. Overlaps between different primers, necessary to carry out secondary PCR steps using as a template the product of a primary PCR step, are indicated. Restriction sites introduced, sequences annealing to the CE7 chimeric genomic DNA, and the leader sequence introduced, are also indicated.

FIGURE 9 depicts MALDI/TOF-MS spectra of neutral oligosaccharide mixtures from chCE7 samples produced either by SP2/0 mouse myeloma cells (FIGURE 9A, oligosaccharides from 50  $\mu$ g of CE7-SP2/0), or by CHO-tetGnTIII-chCE7 cell cultures differing in the concentration of tetracycline added to the media, and therefore expressing the GnT III gene at different levels. In decreasing order of tetracycline concentration, *i.e.*, increasing levels of GnT III gene expression, the latter samples are: CE7-2000t (FIGURE 9B, oligosaccharides from 37.5  $\mu$ g of antibody), CE7-60t (FIGURE 9C, oligosaccharides from 37.5  $\mu$ g of antibody), CE7-30t (FIGURE 9D, oligosaccharides from 25  $\mu$ g of antibody) and CE7-15t (FIGURE 9E, oligosaccharides from 10  $\mu$ g of antibody).

FIGURE 10 depicts N-linked oligosaccharide biosynthetic pathways leading to bisected complex oligosaccharides *via* a GnT III-catalyzed reaction. M stands for Mannose; Gn, N-acetylglucosamine (G1cNAc); G, galactose; Gn<sup>b</sup>, bisecting G1cNAc; f, fucose. The oligosaccharide nomenclature consists of enumerating the M, Gn, and G residues attached to the core oligosaccharide and indicating the presence of a bisecting G1cNAc by including a Gn<sup>b</sup>. The oligosaccharide core is itself composed of 2 Gn residues and may or may not include a fucose. The major classes of oligosaccharides are shown inside dotted frames. Man I stands for Golgi mannosidase; GnT, G1cNAc transferase; and GalT, for galactosyltransferase. The mass associated with the major, sodium-associated oligosaccharide ion that is observed MALDI/TOF-MS analysis is shown beside each oligosaccharide. For oligosaccharides which can potentially be core-fucosylated, the masses associated with both fucosylated (+f) and non-fucosylated (-f) forms are shown.

FIGURE 11 depicts N-linked oligosaccharide biosynthetic pathway leading to bisected complex and bisected hybrid oligosaccharides *via* GnT III-catalyzed reactions. M stands for mannose; Gn N-acetylglucosamine (G1cNAc); G, galactose; Gn<sup>b</sup>, bisecting G1cNAc; f, fucose. The oligosaccharide nomenclature consists of enumerating the M, Gn, and G residues attached to the common oligosaccharide and indicating the presence of bisecting G1cNAc by including a Gn<sup>b</sup>. The oligosaccharide core is itself composed of 2 Gn residues and may or not include a fucose. The major classes of oligosaccharides are shown inside dotted frames. Man I stands for Golgi mannosidase; TnT, G1cNAc transferase; and GalT, for galactosyltransferase. The mass associated with major, sodium-associated oligosaccharide ion that is observed in MALDI/TOF-MS analysis is shown beside each oligosaccharide. For oligosaccharides which can potentially be core-fucosylated, the masses associated with both fucosylated (+f) and non -fucosylated (-f) forms are shown.

FIGURE 12 depicts ADCC activity of different chCE7 samples. Lysis of IMR-32 neuroblastoma cells by human lymphocytes (target:effector ratio of 1:19. 16 h incubation at 37 °C), mediated by different concentrations of chCE7 samples, was measured *via* retention of a fluorescent dye. The percentage of cytotoxicity is calculated relative to a total lysis control (by means of a detergent), after subtraction of the signal in the absence of antibody.

FIGURE 13 depicts the GnT III expression of different cultures of CHO-tet-GnTIII grown at different tetracycline concentrations used to produce distinct C2B8 antibody samples. Cell lysates from each culture grown at 2000ng/ml (Lane C) and 25ng/ml (Lane D) tetracycline concentrations were resolved by SDS-PAGE, blotted onto a membrane, and probed with 9E10 (*see supra*) and anti-mouse horseradish peroxidase as primary and secondary antibodies, respectively. Lane A depicts a negative control.

FIGURES 14A and 14B depict the specificity of antigen binding of the C2B8 anti-CD20 monoclonal antibody using an indirect immunofluorescence assay with cells in suspension. CD20 positive cells (SB cells; ATCC deposit no. ATCC CCL120) and CD20 negative cells (HSB cells; ATCC deposit no. ATCC CCL120.1), FIGURE 14A and 14B respectively, were utilized. Cells of each type were incubated with C2B8 antibody produced at 25ng/ml tetracycline as a primary antibody. Negative controls included HBSSB instead of primary antibody. An anti-human IgG Fc specific,

polyclonal, FITC conjugated antibody was used for all samples as a secondary antibody.

FIGURE 15 depicts the ADCC activity of different C2B8 antibody samples at different antibody concentrations (0.04-5µg/ml). Sample C2B8-nt represents the ADCC activity of the C2B8 antibody produced in a cell line without GnT III expression. Samples C2B8-2000t, C2B8-50t and C2B8-25t show the ADCC activity of three antibody samples produced at decreasing tetracycline concentrations (i.e., increasing GnT III expression).

## VI. DEFINITIONS

10 Terms are used herein as generally used in the art, unless otherwise defined in the following:

As used herein, the term *antibody* is intended to include whole antibody molecules, antibody fragments, or fusion proteins that include a region equivalent to the Fc region of an immunoglobulin.

15 As used herein, the term *glycoprotein-modifying glycosyl transferase* refers to an enzyme that effects modification of the glycosylation pattern of a glycoprotein. Examples of glycoprotein-modifying glycosyl transferases include, but are not limited to glycosyl transferases such as GnT III, GnT V, GalT, and Man II.

20 As used herein, the term *glycosylation engineering* is considered to include any sort of change to the glycosylation pattern of a naturally occurring polypeptide or fragment thereof. Glycosylation engineering includes metabolic engineering of the glycosylation machinery of a cell, including genetic manipulations of the oligosaccharide synthesis pathways to achieve altered glycosylation of glycoproteins expressed in cells. Furthermore, glycosylation engineering includes the effects of  
25 mutations and cell environment on glycosylation.

As used herein, the term *host cell* covers any kind of cellular system which can be engineered to generate modified glycoforms of proteins, protein fragments, or peptides of interest, including antibodies and antibody fragments. Typically, the host cells have been manipulated to express optimized levels of at least one glycoprotein-modifying glycosyl transferase, including, but not limited to GnT III, GnT V, GalT, and  
30 Man II, and/or at least one glycosidase. Host cells include cultured cells, e.g., mammalian cultured cells, such as CHO cells, BHK cells, NS0 cells, SP2/0 cells, or hybridoma cells, yeast cells, and insect cells, to name only few, but also cells comprised

within a transgenic animal or cultured tissue.

- As used herein, the term *Fc-mediated cellular cytotoxicity* is intended to include antibody dependent cellular cytotoxicity (ADCC), and cellular cytotoxicity directed to those cells that have been engineered to express on their cell surface an
- 5 Fc-region or equivalent region of an immunoglobulin G, and cellular cytotoxicity mediated by a soluble fusion protein consisting of a target protein domain fused to the N-terminus of an Fc-region or equivalent region of an immunoglobulin G.

## VII. DETAILED DESCRIPTION OF THE INVENTION

### 10 A. General Overview

The objective of the present invention is to provide glycoforms of proteins, in particular antibodies, including whole antibody molecules, antibody fragments, or fusion proteins that include a region equivalent to the Fc region of an immunoglobulin, to produce new variants of a therapeutic protein. The invention is

15 based, in part, on the inventors' discovery that the glycosylation reaction network of a cell can be manipulated to maximize the proportion of certain glycoforms within the population, and that certain glycoforms have improved therapeutic characteristics. The invention is further based, in part, on the discovery of ways to identify glycoforms of proteins which have an improved therapeutic value, and how to generate them

20 reproducibly. The invention is further based, in part, on the discovery that there is a preferred range of glycoprotein-modifying glycosyl transferase expression in the antibody-generating cell, for increasing complex N-linked oligosaccharides carrying bisecting GlcNAc.

As such, the present invention is directed, generally, to methods for the

25 glycosylation engineering of proteins to alter and improve their therapeutic properties. More specifically, the present invention describes methods for producing in a host cell an antibody which has an altered glycosylation pattern resulting in an enhanced antibody dependent cellular cytotoxicity (ADCC). For the practice of the methods, the present invention provides host cells which harbor a nucleic acid encoding an antibody

30 and at least one nucleic acid encoding a glycoprotein-modifying glycosyl transferase. Further, the present invention provides methods and protocols of culturing such host cells under conditions which permit the expression of the desired antibody having an altered glycosylation pattern resulting in an enhanced antibody dependent cellular

cytotoxicity. Further, methods for isolating the so generated antibody with enhanced antibody dependent cellular cytotoxicity are described.

In more specific embodiments of the invention, two monoclonal antibodies, namely the anti-neuroblastoma antibody chCE7, and the anti-CD20 antibody C2B8, have been used as model therapeutic glycoproteins, and the target glycoforms have been those carrying a special class of carbohydrate, namely bi-antennary complex N-linked oligosaccharides modified with bisecting N-acetylglucosamine (GlcNAc). In the model system provided by the invention, CHO cells are used as host cells, although many other cell systems may be contemplated as host cell system. The glycosyl transferase that adds a bisecting GlcNAc to various types of N-linked oligosaccharides, GlcNAc-transferase III (GnT III), is not normally produced by CHO cells. Stanley and Campell, 1984, *J. Biol. Chem.* 261:13370-13378.

To investigate the effects of GnT III overexpression experimentally, a CHO cell line with tetracycline-regulated overexpression of a rat GnT III cDNA was established. Using this experimental system, the inventors discovered that overexpression of GnT III to high levels led to growth inhibition and was toxic to the cells. Another CHO cell line with tetracycline-regulated overexpression of GnT V, which is a distinct glycosyl transferase, showed the same inhibitory effect, indicating that this may be a general feature of glycoprotein-modifying glycosyl transferase overexpression. The effect of the enzyme expression on the cell growth sets an upper limit to the level of glycoprotein-modifying glycosyl transferase overexpression and may therefore also limit the extent to which poorly accessible glycosylation sites can be modified by engineering of glycosylation pathways and patterns using unregulated expression vectors.

The production of a set of chCE7 mAb and C2B8 samples differing in their glycoform distributions by controlling GnT III expression in a range between basal and toxic levels are disclosed. Measurement of the ADCC activity of the chCE7 mAb samples showed an optimal range of GnT III expression for maximal chCE7 *in vitro* biological activity. The activity correlated with the level of Fc-associated bisected, complex oligosaccharides. Expression of GnT III within the practical range, *i.e.*, where no significant growth inhibition and toxicity are observed, led to an increase of the target bisected, complex structures for this set of chCE7 samples. The pattern of oligosaccharide peaks in MALDI/TOF-mass spectrometric analysis of chCE7 samples

produced at high levels of GnT III indicates that a significant proportion of potential GnT III substrates is diverted to bisected hybrid oligosaccharide by-products. Minimization of these by-products by further engineering of the pathway could therefore be valuable.

5

**B. Identification And Generation Of Nucleic Acids Encoding A Protein For Which Modification Of The Glycosylation Pattern Is Desired**

The present invention provides host cell systems suitable for the  
10 generation of altered glycoforms of any protein, protein fragment or peptide of interest, for which such an alteration in the glycosylation pattern is desired. The nucleic acids encoding such protein, protein fragment or peptide of interest may be obtained by methods generally known in the art. For example, the nucleic acid may be isolated from a cDNA library or genomic library. For a review of cloning strategies which may be  
15 used, *see, e.g.,* Maniatis, 1989, *Molecular Cloning, A Laboratory Manual*, Cold Springs Harbor Press, N.Y.; and Ausubel *et al.*, 1989, *Current Protocols in Molecular Biology*, (Green Publishing Associates and Wiley Interscience, N.Y.).

In an alternate embodiment of the invention, the coding sequence of the protein, protein fragment or peptide of interest may be synthesized in whole or in part,  
20 using chemical methods well known in the art. *See, for example,* Caruthers *et al.*, 1980, *Nuc. Acids Res. Symp. Ser. 7*:215-233; Crea and Horn, 1980, *Nuc. Acids Res. USA* 9:2331; Matteucci and Caruthers, 1980, *Tetrahedron Letters* 21:719; Chow and Kempe, 1981, *Nuc. Acids Res.* 9:2807-2817. Alternatively, the protein itself could be produced using chemical methods to synthesize its amino acid sequence in whole or in part. For  
25 example, peptides can be synthesized by solid phase techniques, cleaved from the resin, and purified by preparative high performance liquid chromatography. *E.g., see* Creighton, 1983, *Protein Structures And Molecular Principles*, W.H. Freeman and Co., N.Y. pp. 50-60. The composition of the synthetic peptides may be confirmed by amino acid analysis or sequencing (*e.g.,* the Edman degradation procedure; *see* Creighton,  
30 1983, *Proteins, Structures and Molecular Principles*, W.H. Freeman and Co., N.Y., pp. 34-49).

In preferred embodiments, the invention provides methods for the generation and use of host cell systems for the production of glycoforms of antibodies or antibody

fragments or fusion proteins which include antibody fragments with enhanced antibody-dependent cellular cytotoxicity. Identification of target epitopes and generation of antibodies having potential therapeutic value, for which modification of the glycosylation pattern is desired, and isolation of their respective coding nucleic acid  
5 sequence is within the scope of the invention.

Various procedures known in the art may be used for the production of antibodies to target epitopes of interest. Such antibodies include but are not limited to polyclonal, monoclonal, chimeric, single chain, Fab fragments and fragments produced by an Fab expression library. Such antibodies may be useful, e.g., as diagnostic or  
10 therapeutic agents. As therapeutic agents, neutralizing antibodies, i.e., those which compete for binding with a ligand, substrate or adapter molecule, are of especially preferred interest.

For the production of antibodies, various host animals are immunized by injection with the target protein of interest including, but not limited to, rabbits, mice,  
15 rats, etc. Various adjuvants may be used to increase the immunological response, depending on the host species, including but not limited to Freund's (complete and incomplete), mineral gels such as aluminum hydroxide, surface active substances such as lysolcithin, pluronic polyols, polyanions, peptides, oil emulsions, keyhole limpet hemocyanin, dinitrophenol, and potentially useful human adjuvants such as BCG  
20 (bacille Calmette-Guerin) and *Corynebacterium parvum*.

Monoclonal antibodies to the target of interest may be prepared using any technique which provides for the production of antibody molecules by continuous cell lines in culture. These include, but are not limited to, the hybridoma technique originally described by Kohler and Milstein, 1975, *Nature* 256:495-497, the human  
25 B-cell hybridoma technique (Kosbor *et al.*, 1983, *Immunology Today* 4:72; Cote *et al.*, 1983, *Proc. Natl. Acad. Sci. U.S.A.* 80:2026-2030) and the EBV-hybridoma technique (Cole *et al.*, 1985, *Monoclonal Antibodies and Cancer Therapy*, Alan R. Liss, Inc., pp. 77-96). In addition, techniques developed for the production of "chimeric antibodies" (Morrison *et al.*, 1984, *Proc. Natl. Acad. Sci. U.S.A.* 81:6851-6855; Neuberger *et al.*,  
30 1984, *Nature* 312:604-608; Takeda *et al.*, 1985, *Nature* 314:452-454) by splicing the genes from a mouse antibody molecule of appropriate antigen specificity together with genes from a human antibody molecule of appropriate biological activity can be used. Alternatively, techniques described for the production of single chain antibodies (U.S.

Patent No. 4,946,778) can be adapted to produce single chain antibodies having a desired specificity.

Antibody fragments which contain specific binding sites of the target protein of interest may be generated by known techniques. For example, such fragments include, but are not limited to, F(ab')<sub>2</sub> fragments which can be produced by pepsin digestion of the antibody molecule and the Fab fragments which can be generated by reducing the disulfide bridges of the F(ab')<sub>2</sub> fragments. Alternatively, Fab expression libraries may be constructed (Huse *et al.*, 1989, *Science* 246:1275-1281) to allow rapid and easy identification of monoclonal Fab fragments with the desired specificity to the target protein of interest.

Once an antibody or antibody fragment has been identified for which modification in the glycosylation pattern are desired, the coding nucleic acid sequence is identified and isolated using techniques well known in the art. *See, supra.*

#### 15           C.     **Generation Of Cell Lines For The Production Of Proteins With Altered Glycosylation Pattern**

The present invention provides host cell expression systems for the generation of proteins having modified glycosylation patterns. In particular, the present invention provides host cell systems for the generation of glycoforms of proteins having an improved therapeutic value. Therefore, the invention provides host cell expression systems selected or engineered to increase the expression of a glycoprotein-modifying glycosyltransferase. Specifically, such host cell expression systems may be engineered to comprise a recombinant nucleic acid molecule encoding a glycoprotein-modifying glycosyltransferase, operatively linked to a constitutive or regulated promoter system. Alternatively, host cell expression systems may be employed that naturally produce, are induced to produce, and/or are selected to produce a glycoprotein-modifying glycosyltransferase.

In one specific embodiment, the present invention provides a host cell that has been engineered to express at least one nucleic acid encoding a glycoprotein-modifying glycosyl transferase. In one aspect, the host cell is transformed or transfected with a nucleic acid molecule comprising at least one gene encoding a glycoprotein-modifying glycosyl transferase. In an alternate aspect, the host cell has been engineered and/or selected in such way that an endogenous glycoprotein-

modifying glycosyl transferase is activated. For example, the host cell may be selected to carry a mutation triggering expression of an endogenous glycoprotein-modifying glycosyl transferase. This aspect is exemplified in one specific embodiment, where the host cell is a CHO Lec10 mutant. Alternatively, the host cell may be engineered such that an endogenous glycoprotein-modifying glycosyl transferase is activated. In again another alternative, the host cell is engineered such that an endogenous glycoprotein-modifying glycosyl transferase has been activated by insertion of a regulated promoter element into the host cell chromosome. In a further alternative, the host cell has been engineered such that an endogenous glycoprotein-modifying glycosyl transferase has been activated by insertion of a constitutive promoter element, a transposon, or a retroviral element into the host cell chromosome.

Generally, any type of cultured cell line can be used as a background to engineer the host cell lines of the present invention. In a preferred embodiment, CHO cells, BHK cells, NS0 cells, SP2/0 cells, or a hybridoma cell line is used as the background cell line to generate the engineered host cells of the invention.

The invention is contemplated to encompass engineered host cells expressing any type of glycoprotein-modifying glycosyl transferase as defined herein. However, in preferred embodiments, at least one glycoprotein-modifying glycosyl transferase expressed by the host cells of the invention is GnT III, or, alternatively,  $\beta(1,4)$ -N-acetylglucosaminyltransferase V (GnT V). However, also other types of glycoprotein-modifying glycosyl transferase may be expressed in the host system, typically in addition to GnT III or GnT V, including  $\beta(1,4)$ -galactosyl transferase (GalT), and mannosidase II (Man II). In one embodiment of the invention, GnT III is coexpressed with GalT. In another embodiment of the invention, GnT III is coexpressed with Man II. In a further embodiment of the invention, GnT III is coexpressed with GalT and Man II. However, any other permutation of glycoprotein-modifying glycosyl transferases is within the scope of the invention. Further, expression of a glycosidase in the host cell system may be desired.

One or several nucleic acids encoding a glycoprotein-modifying glycosyl transferase may be expressed under the control of a constitutive promoter or, alternately, a regulated expression system. Suitable regulated expression systems include, but are not limited to, a tetracycline-regulated expression system, an ecdysone-inducible expression system, a lac-switch expression system, a glucocorticoid-inducible

expression system, a temperature-inducible promoter system, and a metallothionein metal-inducible expression system. If several different nucleic acids encoding glycoprotein-modifying glycosyl transferases are comprised within the host cell system, some of them may be expressed under the control of a constitutive promoter, while others are expressed under the control of a regulated promoter. The optimal expression levels will be different for each protein of interest, and will be determined using routine experimentation. Expression levels are determined by methods generally known in the art, including Western blot analysis using a glycosyl transferase specific antibody, Northern blot analysis using a glycosyl transferase specific nucleic acid probe, or measurement of enzymatic activity. Alternatively, a lectin may be employed which binds to biosynthetic products of the glycosyl transferase, for example, E<sub>4</sub>-PHA lectin. In a further alternative, the nucleic acid may be operatively linked to a reporter gene; the expression levels of the glycoprotein-modifying glycosyl transferase are determined by measuring a signal correlated with the expression level of the reporter gene. The reporter gene may transcribed together with the nucleic acid(s) encoding said glycoprotein-modifying glycosyl transferase as a single mRNA molecule; their respective coding sequences may be linked either by an internal ribosome entry site (IRES) or by a cap-independent translation enhancer (CITE). The reporter gene may be translated together with at least one nucleic acid encoding said glycoprotein-modifying glycosyl transferase such that a single polypeptide chain is formed. The nucleic acid encoding the glycoprotein-modifying glycosyl transferase may be operatively linked to the reporter gene under the control of a single promoter, such that the nucleic acid encoding the glycoprotein-modifying glycosyl transferase and the reporter gene are transcribed into an RNA molecule which is alternatively spliced into two separate messenger RNA (mRNA) molecules; one of the resulting mRNAs is translated into said reporter protein, and the other is translated into said glycoprotein-modifying glycosyl transferase.

If several different nucleic acids encoding a glycoprotein-modifying glycosyl transferase are expressed, they may be arranged in such way that they are transcribed as one or as several mRNA molecules. If they are transcribed as a single mRNA molecule, their respective coding sequences may be linked either by an internal ribosome entry site (IRES) or by a cap-independent translation enhancer (CITE). They may be transcribed from a single promoter into an RNA molecule which is alternatively spliced

into several separate messenger RNA (mRNA) molecules, which then are each translated into their respective encoded glycoprotein-modifying glycosyl transferase.

In other embodiments, the present invention provides host cell expression systems for the generation of therapeutic proteins, for example antibodies, having an enhanced antibody-dependent cellular cytotoxicity, and cells which display the IgG Fc region on the surface to promote Fc-mediated cytotoxicity. Generally, the host cell expression systems have been engineered and/or selected to express nucleic acids encoding the protein for which the production of altered glycoforms is desired, along with at least one nucleic acid encoding a glycoprotein-modifying glycosyl transferase.

10 In one embodiment, the host cell system is transfected with at least one gene encoding a glycoprotein-modifying glycosyl transferase. Typically, the transfected cells are selected to identify and isolate clones that stably express the glycoprotein-modifying glycosyl transferase. In another embodiment, the host cell has been selected for expression of endogenous glycosyl transferase. For example, cells may be selected

15 carrying mutations which trigger expression of otherwise silent glycoprotein-modifying glycosyl transferases. For example, CHO cells are known to carry a silent GnT III gene that is active in certain mutants, e.g., in the mutant Lec10. Furthermore, methods known in the art may be used to activate silent glycoprotein-modifying glycosyl transferase genes, including the insertion of a regulated or constitutive promoter, the use

20 of transposons, retroviral elements, etc. Also the use of gene knockout technologies or the use of ribozyme methods may be used to tailor the host cell's glycosyl transferase and/or glycosidase expression levels, and is therefore within the scope of the invention.

Any type of cultured cell line can be used as background to engineer the host cell lines of the present invention. In a preferred embodiment, CHO cells, BHK cells,

25 NS0 cells, SP2/0 cells. Typically, such cell lines are engineered to further comprise at least one transfected nucleic acid encoding a whole antibody molecule, an antibody fragment, or a fusion protein that includes a region equivalent to the Fc region of an immunoglobulin. In an alternative embodiment, a hybridoma cell line expressing a particular antibody of interest is used as background cell line to generate the engineered

30 host cells of the invention.

Typically, at least one nucleic acid in the host cell system encodes GnT III, or, alternatively, GnT V. However, also other types of glycoprotein-modifying glycosyl transferase may be expressed in the host system, typically in addition to GnT III or GnT

V, including GalT, and Man II. In one embodiment of the invention, GnT III is coexpressed with GalT. In another embodiment of the invention, GnT III is coexpressed with Man II. In a further embodiment of the invention, GnT III is coexpressed with GalT and Man II. However, any other permutation of glycoprotein-modifying glycosyl transferases is within the scope of the invention. Further, expression of a glycosidase in the host cell system may be desired.

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encoding the glycoprotein-modifying glycosyl transferase and the reporter gene are transcribed into an RNA molecule which is alternatively spliced into two separate messenger RNA (mRNA) molecules; one of the resulting mRNAs is translated into said reporter protein, and the other is translated into said glycoprotein-modifying glycosyl transferase.

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## 1. Expression Systems

Methods which are well known to those skilled in the art can be used to construct expression vectors containing the coding sequence of the protein of interest and the coding sequence of the glycoprotein-modifying glycosyl transferase and appropriate transcriptional/translational control signals. These methods include *in vitro* recombinant DNA techniques, synthetic techniques and *in vivo* recombination/genetic recombination. See, for example, the techniques described in Maniatis *et al.*, 1989, Molecular Cloning A Laboratory Manual, Cold Spring Harbor Laboratory, N.Y. and Ausubel *et al.*, 1989, Current Protocols in Molecular Biology, Greene Publishing Associates and Wiley Interscience, N.Y.

A variety of host-expression vector systems may be utilized to express the coding sequence of the protein of interest and the coding sequence of the glycoprotein-modifying glycosyl transferase. Preferably, mammalian cells are used as host cell systems transfected with recombinant plasmid DNA or cosmid DNA expression vectors containing the coding sequence of the protein of interest and the coding sequence of the glycoprotein-modifying glycosyl transferase. Most preferably, CHO cells, BHK cells, NS0 cells, or SP2/0 cells, or alternatively, hybridoma cells are used as host cell systems. In alternate embodiments, other eukaryotic host cell systems may be contemplated, including, yeast cells transformed with recombinant yeast expression vectors containing

the coding sequence of the protein of interest and the coding sequence of the glycoprotein-modifying glycosyl transferase; insect cell systems infected with recombinant virus expression vectors (*e.g.*, baculovirus) containing the coding sequence of the protein of interest and the coding sequence of the glycoprotein-modifying glycosyl transferase; plant cell systems infected with recombinant virus expression vectors (*e.g.*, cauliflower mosaic virus, CaMV; tobacco mosaic virus, TMV) or transformed with recombinant plasmid expression vectors (*e.g.*, Ti plasmid) containing the coding sequence of the protein of interest and the coding sequence of the glycoprotein-modifying glycosyl transferase; or animal cell systems infected with recombinant virus expression vectors (*e.g.*, adenovirus, vaccinia virus) including cell lines engineered to contain multiple copies of the DNA encoding the protein of interest and the coding sequence of the glycoprotein-modifying glycosyl transferase either stably amplified (CHO/dhfr) or unstably amplified in double-minute chromosomes (*e.g.*, murine cell lines).

For the methods of this invention, stable expression is generally preferred to transient expression because it typically achieves more reproducible results and also is more amenable to large scale production. Rather than using expression vectors which contain viral origins of replication, host cells can be transformed with the respective coding nucleic acids controlled by appropriate expression control elements (*e.g.*, promoter, enhancer, sequences, transcription terminators, polyadenylation sites. etc.), and a selectable marker. Following the introduction of foreign DNA, engineered cells may be allowed to grow for 1-2 days in an enriched media, and then are switched to a selective media. The selectable marker in the recombinant plasmid confers resistance to the selection and allows selection of cells which have stably integrated the plasmid into their chromosomes and grow to form foci which in turn can be cloned and expanded into cell lines.

A number of selection systems may be used, including, but not limited to, the herpes simplex virus thymidine kinase (Wigler *et al.*, 1977, *Cell* 11:223), hypoxanthine-guanine phosphoribosyltransferase (Szybalska & Szybalski, 1962, *Proc. Natl. Acad. Sci. USA* 48:2026), and adenine phosphoribosyltransferase (Lowy *et al.*, 1980, *Cell* 22:817) genes, which can be employed in tk<sup>-</sup>, hgprt<sup>-</sup> or apt<sup>-</sup> cells, respectively. Also, antimetabolite resistance can be used as the basis of selection for dhfr, which confers resistance to methotrexate (Wigler *et al.*, 1980, *Natl. Acad. Sci. USA*

- 77:3567; O'Hare *et al.*, 1981, *Proc. Natl. Acad. Sci. USA* 78:1527); gpt, which confers resistance to mycophenolic acid (Mulligan & Berg, 1981, *Proc. Natl. Acad. Sci. USA* 78:2072); neo, which confers resistance to the aminoglycoside G-418 (Colberre-Garapin *et al.*, 1981, *J. Mol. Biol.* 150:1); and hyg, which confers resistance to hygromycin
- 5 (Santerre *et al.*, 1984, *Gene* 30:147) genes. Recently, additional selectable genes have been described, namely trpB, which allows cells to utilize indole in place of tryptophan; hisD, which allows cells to utilize histinol in place of histidine (Hartman & Mulligan, 1988, *Proc. Natl. Acad. Sci. USA* 85:8047); the glutamine synthase system; and ODC (ornithine decarboxylase) which confers resistance to the ornithine decarboxylase
- 10 inhibitor, 2-(difluoromethyl)-DL-ornithine, DFMO (McConlogue, 1987, *in: Current Communications in Molecular Biology*, Cold Spring Harbor Laboratory ed.).

## 2. Identification Of Transfectants Or Transformants That Express The Protein Having A Modified Glycosylation Pattern

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- The host cells which contain the coding sequence and which express the biologically active gene products may be identified by at least four general approaches; (a) DNA-DNA or DNA-RNA hybridization; (b) the presence or absence of "marker" gene functions; (c) assessing the level of transcription as measured by the
- 20 expression of the respective mRNA transcripts in the host cell; and (d) detection of the gene product as measured by immunoassay or by its biological activity.

- In the first approach, the presence of the coding sequence of the protein of interest and the coding sequence of the glycoprotein-modifying glycosyl transferase(s) inserted in the expression vector can be detected by DNA-DNA or DNA-RNA
- 25 hybridization using probes comprising nucleotide sequences that are homologous to the respective coding sequences, respectively, or portions or derivatives thereof.

- In the second approach, the recombinant expression vector/host system can be identified and selected based upon the presence or absence of certain "marker" gene functions (e.g., thymidine kinase activity, resistance to antibiotics, resistance to
- 30 methotrexate, transformation phenotype, occlusion body formation in baculovirus, etc.). For example, if the coding sequence of the protein of interest and the coding sequence of the glycoprotein-modifying glycosyl transferase are inserted within a marker gene sequence of the vector, recombinants containing the respective coding sequences can be

identified by the absence of the marker gene function. Alternatively, a marker gene can be placed in tandem with the coding sequences under the control of the same or different promoter used to control the expression of the coding sequences. Expression of the marker in response to induction or selection indicates expression of the coding sequence of the protein of interest and the coding sequence of the glycoprotein-modifying glycosyl transferase.

In the third approach, transcriptional activity for the coding region of the protein of interest and the coding sequence of the glycoprotein-modifying glycosyl transferase can be assessed by hybridization assays. For example, RNA can be isolated and analyzed by Northern blot using a probe homologous to the coding sequences of the protein of interest and the coding sequence of the glycoprotein-modifying glycosyl transferase or particular portions thereof. Alternatively, total nucleic acids of the host cell may be extracted and assayed for hybridization to such probes.

In the fourth approach, the expression of the protein products of the protein of interest and the coding sequence of the glycoprotein-modifying glycosyl transferase can be assessed immunologically, for example by Western blots, immunoassays such as radioimmuno-precipitation, enzyme-linked immunoassays and the like. The ultimate test of the success of the expression system, however, involves the detection of the biologically active gene products.

#### **D. Generation And Use Of Proteins And Protein Fragments Having Altered Glycosylation Patterns**

##### **1. Generation And Use Of Antibodies Having Enhanced Antibody-Dependent Cellular Cytotoxicity**

In preferred embodiments, the present invention provides glycoforms of antibodies and antibody fragments having an enhanced antibody-dependent cellular cytotoxicity.

Clinical trials of unconjugated monoclonal antibodies (mAbs) for the treatment of some types of cancer have recently yielded encouraging results. Dillman, 1997, *Cancer Biother. & Radiopharm.* 12:223-225; Deo *et al.*, 1997, *Immunology Today* 18:127. A chimeric, unconjugated IgG1 has been approved for low-grade or follicular B-cell non-Hodgkin's lymphoma (Dillman, 1997, *supra*), while another unconjugated mAb, a humanized IgG1 targeting solid breast tumors, has also been

showing promising results in phase III clinical trials. Deo *et al.*, 1997, *supra*. The antigens of these two mAbs are highly expressed in their respective tumor cells and the antibodies mediate potent tumor destruction by effector cells *in vitro* and *in vivo*. In contrast, many other unconjugated mAbs with fine tumor specificities cannot trigger

5 effector functions of sufficient potency to be clinically useful. Frost *et al.*, 1997, *Cancer* **80**:317-333; Surfus *et al.*, 1996, *J. Immunother.* **19**:184-191. For some of these weaker mAbs, adjunct cytokine therapy is currently being tested. Addition of cytokines can stimulate antibody-dependent cellular cytotoxicity (ADCC) by increasing the activity and number of circulating lymphocytes. Frost *et al.*, 1997, *supra*; Surfus *et al.*,

10 1996, *supra*. ADCC, a lytic attack on antibody-targeted cells, is triggered upon binding of lymphocyte receptors to the constant region (Fc) of antibodies. Deo *et al.*, 1997, *supra*.

A different, but complementary, approach to increase ADCC activity of unconjugated IgG1s would be to engineer the Fc region of the antibody to increase its

15 affinity for the lymphocyte receptors (FcγRs). Protein engineering studies have shown that FcγRs interact with the lower hinge region of the IgG CH2 domain. Lund *et al.*, 1996, *J. Immunol.* **157**:4963-4969. However, FcγR binding also requires the presence of oligosaccharides covalently attached at the conserved Asn 297 in the CH2 region. Lund *et al.*, 1996, *supra*; Wright and Morrison, 1997, *Tibtech* **15**:26-31, suggesting that

20 either oligosaccharide and polypeptide both directly contribute to the interaction site or that the oligosaccharide is required to maintain an active CH2 polypeptide conformation. Modification of the oligosaccharide structure can therefore be explored as a means to increase the affinity of the interaction.

An IgG molecule carries two N-linked oligosaccharides in its Fc region, one

25 on each heavy chain. As any glycoprotein, an antibody is produced as a population of glycoforms which share the same polypeptide backbone but have different oligosaccharides attached to the glycosylation sites. The oligosaccharides normally found in the Fc region of serum IgG are of complex bi-antennary type (Wormald *et al.*, 1997, *Biochemistry* **36**:130-1380), with low level of terminal sialic acid and bisecting

30 N-acetylglucosamine (GlcNAc), and a variable degree of terminal galactosylation and core fucosylation (FIGURE 1). Some studies suggest that the minimal carbohydrate structure required for FcγR binding lies within the oligosaccharide core. Lund *et al.*, 1996, *supra*. The removal of terminal galactoses results in approximately a two-fold

reduction in ADCC activity, indicating a role for these residues in FcγR receptor binding. Lund *et al.*, 1996, *supra*.

The mouse- or hamster-derived cell lines used in industry and academia for production of unconjugated therapeutic mAbs normally attach the required oligosaccharide determinants to Fc sites. IgGs expressed in these cell lines lack, however, the bisecting GlcNAc found in low amounts in serum IgGs. Lifely *et al.*, 1995, *Glycobiology* 318:813-822. In contrast, it was recently observed that a rat myeloma-produced, humanized IgG1 (CAMPATH-1H) carried a bisecting GlcNAc in some of its glycoforms. Lifely *et al.*, 1995, *supra*. The rat cell-derived antibody reached a similar *in vitro* ADCC activity as CAMPATH-1H antibodies produced in standard cell lines, but at significantly lower antibody concentrations.

The CAMPATH antigen is normally present at high levels on lymphoma cells, and this chimeric mAb has high ADCC activity in the absence of a bisecting GlcNAc. Lifely *et al.*, 1995, *supra*. Even though in the study of Lifely *et al.*, 1995, *supra*, the maximal *in vitro* ADCC activity was not increased by altering the glycosylation pattern, the fact that this level of activity was obtained at relatively low antibody concentrations for the antibody carrying bisected oligosaccharides suggests an important role for bisected oligosaccharides. An approach was developed to increase the ADCC activity of IgG1s with low basal activity levels by producing glycoforms of these antibodies carrying bisected oligosaccharides in the Fc region.

In the N-linked glycosylation pathway, a bisecting GlcNAc is added by the enzyme β(1,4)-N-acetylglucosaminyltransferase III (GnT III). Schachter, 1986, *Biochem. Cell Biol.* 64:163-181. Lifely *et al.*, 1995, *supra*, obtained different glycosylation patterns of the same antibody by producing the antibody in different cell lines with different but non-engineered glycosylation machineries, including a rat myeloma cell line that expressed GnT III at an endogenous, constant level. In contrast, we used a single antibody-producing CHO cell line, that was previously engineered to express, in an externally-regulated fashion, different levels of a cloned GnT III gene. This approach allowed us to establish for the first time a rigorous correlation between expression of GnT III and the ADCC activity of the modified antibody.

As demonstrated herein, *see*, Example 4, *infra*, C2B8 antibody modified according to the disclosed method had an about sixteen-fold higher ADCC activity than the standard, unmodified C2B8 antibody produced under identical cell culture and

purification conditions. Briefly, a C2B8 antibody sample expressed in CHO-tTA-C2B8 cells that do not have GnT III expression showed a cytotoxic activity of about 31% (at 1 µg/ml antibody concentration), measured as *in vitro* lysis of SB cells (CD20+) by human lymphocytes. In contrast, C2B8 antibody derived from a CHO cell culture  
5 expressing GnT III at a basal, largely repressed level showed at 1 µg/ml antibody concentration a 33% increase in ADCC activity against the control at the same antibody concentration. Moreover, increasing the expression of GnT III produced a large increase of almost 80% in the maximal ADCC activity (at 1 µg/ml antibody concentration) compared to the control at the same antibody concentration. *See, Example 4, infra.*

10 Further antibodies of the invention having an enhanced antibody-dependent cellular cytotoxicity include, but are not limited to, anti-human neuroblastoma monoclonal antibody (chCE7) produced by the methods of the invention, a chimeric anti-human renal cell carcinoma monoclonal antibody (ch-G250) produced by the methods of the invention, a humanized anti-HER2 monoclonal antibody produced by  
15 the methods of the invention, a chimeric anti-human colon, lung, and breast carcinoma monoclonal antibody (ING-1) produced by the methods of the invention, a humanized anti-human 17-1A antigen monoclonal antibody (3622W94) produced by the methods of the invention, a humanized anti-human colorectal tumor antibody (A33) produced by the methods of the invention, an anti-human melanoma antibody (R24) directed against  
20 GD3 ganglioside produced by the methods of the invention, and a chimeric anti-human squamous-cell carcinoma monoclonal antibody (SF-25) produced by the methods of the invention. In addition, the invention is directed to antibody fragment and fusion proteins comprising a region that is equivalent to the Fc region of immunoglobulins. *See, infra.*

## 2. Generation And Use Fusion Proteins Comprising A Region Equivalent To An Fc Region Of An Immunoglobulin That Promote Fc-Mediated Cytotoxicity

As discussed above, the present invention relates to a method  
30 for enhancing the ADCC activity of therapeutic antibodies. This is achieved by engineering the glycosylation pattern of the Fc region of such antibodies, in particular by maximizing the proportion of antibody molecules carrying bisected complex oligosaccharides N-linked to the conserved glycosylation sites in their Fc regions. This

strategy can be applied to enhance Fc-mediated cellular cytotoxicity against undesirable cells mediated by any molecule carrying a region that is an equivalent to the Fc region of an immunoglobulin, not only by therapeutic antibodies, since the changes introduced by the engineering of glycosylation affect only the Fc region and therefore its

- 5 interactions with the Fc receptors on the surface of effector cells involved in the ADCC mechanism. Fc-containing molecules to which the presently disclosed methods can be applied include, but are not limited to, (a) soluble fusion proteins made of a targeting protein domain fused to the N-terminus of an Fc-region (Chamov and Ashkenazi, 1996, *TIBTECH* 14: 52) and (b) plasma membrane-anchored fusion proteins made of a type II  
10 transmembrane domain that localizes to the plasma membrane fused to the N-terminus of an Fc region ( Stabila, P.F., 1998, *Nature Biotech.* 16: 1357).

- In the case of soluble fusion proteins (a) the targeting domain directs binding of the fusion protein to undesirable cells such as cancer cells, *i.e.*, in an analogous fashion to therapeutic antibodies. The application of presently disclosed method to  
15 enhance the Fc-mediated cellular cytotoxic activity mediated by these molecules would therefore be identical to the method applied to therapeutic antibodies. *See*, Example 2 of United States Provisional Application Serial Number 60/082,581, incorporated herein by reference.

- In the case of membrane-anchored fusion proteins (b) the undesirable cells in  
20 the body have to express the gene encoding the fusion protein. This can be achieved either by gene therapy approaches, *i.e.*, by transfecting the cells *in vivo* with a plasmid or viral vector that directs expression of the fusion protein-encoding gene to undesirable cells, or by implantation in the body of cells genetically engineered to express the fusion protein on their surface. The later cells would normally be implanted in the body inside  
25 a polymer capsule (encapsulated cell therapy) where they cannot be destroyed by an Fc-mediated cellular cytotoxicity mechanism. However should the capsule device fail and the escaping cells become undesirable, then they can be eliminated by Fc-mediated cellular cytotoxicity. Stabila *et al.*, 1998, *Nature Biotech.* 16: 1357. In this case, the presently disclosed method would be applied either by incorporating into the gene  
30 therapy vector an additional gene expression cassette directing adequate or optimal expression levels of GnT III or by engineering the cells to be implanted to express adequate or optimal levels of GnT III. In both cases, the aim of the disclosed method is to increase or maximize the proportion of surface-displayed Fc regions carrying

bisected complex oligosaccharides.

The examples below explain the invention in more detail. The following preparations and examples are given to enable those skilled in the art to more clearly understand and to practice the present invention. The present invention, however, is not limited in scope by the exemplified embodiments, which are intended as illustrations of single aspects of the invention only, and methods which are functionally equivalent are within the scope of the invention. Indeed, various modifications of the invention in addition to those described herein will become apparent to those skilled in the art from the foregoing description and accompanying drawings. Such modifications are intended to fall within the scope of the appended claims.

## VIII. EXAMPLES

### A. Example 1: Tetracycline-Regulated Overexpression Of Glycosyl Transferases In Chinese Hamster Ovary Cells

To establish a cell line in which the expression of GnT III could be externally-controlled, a tetracycline-regulated expression system was used. Gossen, M. and Bujard, H., 1992, *Proc. Nat. Acad. Sci. USA*, 89: 5547-5551. The amount of GnT III in these cells could be controlled simply by manipulating the concentration of tetracycline in the culture medium. Using this system, it was found that overexpression of GnT III to high levels led to growth inhibition and was toxic to the cells. Another CHO cell line with tetracycline-regulated overexpression of GnT V, a distinct glycoprotein-modifying glycosyl transferase, showed the same inhibitory effect, indicating that this may be a general feature of glycoprotein-modifying glycosyl transferase overexpression. This phenomenon has not been reported previously, probably due to the fact that inventigators generally have used constitutive promoters for related experiments. The growth effect sets an upper limit to the level of glycoprotein-modifying glycosyl transferase overexpression, and may thereby also limit the maximum extent of modification of poorly accessible glycosylation sites.

#### 1. Materials And Methods

***Establishment Of CHO Cells With Tetracycline-Regulated Expression Of Glycosyltransferases.*** In a first step, an intermediate CHO cell line

(CHO-tTA) was first generated that constitutively expresses a tetracycline-controlled transactivator (tTA) at a level for the adequate for the regulation system. Using Lipofectamine reagent (Gibco, Eggenfelden, Germany), CHO (DUKX) cells were co-transfected, with *pUHD15-1*, a vector for constitutive expression of the tTA gene (Gossen and Bujard, 1992, *Proc. Nat. Acad. Sci. USA*, **89**: 5547-5551), and *pSV2Neo*, a vector for constitutive expression of a neomycin resistance gene (Clontech, Palo Alto, CA). Stable, drug-resistant clones were selected and screened for adequate levels of tTA expression *via* transient transfections with a tetracycline-regulated  $\beta$ -galactosidase expression vector, *pUHG16-3*. C-myc epitope-encoding DNA was added to the 3' end of the rat GnT III cDNA (Nishikawa *et al.*, 1992, *J. Biol. Chem.* **267**:18199-18204) by PCR amplification. Nilsson *et al.*, 1993, *J. Cell Biol.* **120**:5-13. The product was sequenced and subcloned into *pUHD10-3*, a vector for tetracycline-regulated expression (Gossen and Bujard, *supra*) to generate the vector *pUHD10-3-GnT III<sub>m</sub>*. The human GnT V cDNA (Saito *et al.*, 1995, *Eur. J. Biochem.* **233**:18-26), was directly subcloned into *pUHD10-3* to generate plasmid vector *pUHD10-3-GnT V*. CHO-tTA cells were co-transfected using a calcium phosphate transfection method (Jordan and Wurm, 1996, *Nucleic Acids Res.* **24**:596-601), with *pPur*, a vector for constitutive expression of puromycin resistance (Clontech, Palo Alto, CA), and either the vector *pUHD10-3-GnT III<sub>m</sub>* or the vector *pUHD10-3-GnT V*. Puromycin resistant clones were selected in the presence of tetracycline, isolated and then analyzed for tetracycline-regulated expression of GnT III or GnT V *via* western blots analysis. *See, infra.*

**Western And Lectin Blotting.** For Western blot analysis of GnT III or GnT V, cell lysates were separated by SDS-PAGE and electroblotted to PVDF membranes (Millipore, Bedford, MA). GnT III was detected using the anti-c-myc monoclonal antibody 9E10 (Nilsson *et al.*, 1993, *J. Cell Biol.* **120**:5-13) and GnT V using with an anti-GnT V rabbit polyclonal antibody (Chen *et al.*, 1995, *Glycoconjugate J.* **12**:813-823). Anti-mouse or anti-rabbit IgG-horse radish peroxidase (Amersham, Arlington, IL) was used as secondary antibody. Bound secondary antibody was detected using an enhanced chemiluminescence kit (ECL kit, Amersham, Arlington, IL)

For lectin blot analysis of glycoproteins modified either by GnT III- or GnT V-catalyzed reactions, biotinylated E-PHA (Oxford Glycosciences, Oxford, United Kingdom) or L-PHA-digoxigenin (Boehringer Mannheim, Mannheim, Germany), respectively, were used. Merkle and Cummings, 1987, *Methods Enzymol.* **138**:232-259.

## 2. Results And Discussion

### *Establishment Of CHO Cell Lines With Tetracycline-*

#### *Regulated Overexpression Of Glycosyl Transferases.*

The strategy used for establishment of glycosyl transferase overexpressing cell lines consisted of first generating an intermediate CHO cell line constitutively expressing the tetracycline-controlled transactivator (tTA) at an adequate level for the system to work. Yin *et al.*, 1996, *Anal. Biochem.* 235:195-201. This level had to be high enough to activate high levels of transcription, in the absence of tetracycline, from the minimal promoter upstream of the glycosyl transferase genes. CHO cells were co-transfected with a vector for constitutive expression for tTA, driven by the human cytomegalovirus (hCMV) promoter/enhancer, and a vector for expression of a neomycin-resistance (Neo<sup>R</sup>) gene. An excess of the tTA-expression vector was used and neomycin-resistant clones were isolated.

In mammalian cells, co-transfected DNA integrates adjacently at random locations within the chromosomes, and expression depends to a large extent on the site of integration and also on the number of copies of intact expression cassettes. A mixed population of clones with different expression levels of the transfected genes is generated. Yin *et al.*, 1996, *supra*. Selection for neomycin resistance merely selects for integration of an intact Neo<sup>R</sup> expression cassette, while the use of an excess of the tTA-expression vector increases the probability of finding clones with good expression of tTA. The mixed population of clones has to be screened using a functional assay for tTA expression. Gossen and Bujard, 1992, *supra*; Yin *et al.*, 1996, *supra*. This was done by transfection of each clone with a second vector harboring a reporter gene, *lacZ*, under the control of the tet-promoter and screening for tetracycline-regulated (tet-regulated), transient expression (*i.e.*, one to three days after transfection) of  $\beta$ -galactosidase activity. CHOt17, which showed the highest level of tet-regulated  $\beta$ -galactosidase activity among twenty screened clones, was selected for further work.

CHOt17 cells were tested for tet-regulated expression of GnT III by transfecting the cells with vector pUHDIO-3-GnT III<sub>m</sub> and comparing the relative levels of GnT III after incubation of the cells in the presence and absence of tetracycline for 36 h. GnT III levels were compared by western blot analysis, using a monoclonal antibody (9E10) which recognizes the c-myc peptide epitope tag at the carboxy-

terminus of GnT III. The tag had been introduced through a modification of the glycosyl transferase gene using PCR amplification. Various reports have demonstrated addition of peptide epitope tags to the carboxy-termini of glycosyl transferases, a group of enzymes sharing the same topology, without disruption of localization or activity.

- 5 Nilsson *et al.*, 1993, *supra*; Rabouille *et al.*, 1995, *J. Cell Science* 108:1617-1627. FIGURE 2 shows that in clone CHOt17 GnT III accumulation is significantly higher in the absence than in the presence of tetracycline. An additional clone, CHOt2, which gave weaker activation of transcription in the  $\beta$ -galactosidase activity assay, was tested in parallel (FIGURE 2). GnT III and  $\beta$ -galactosidase expression levels follow the same
- 10 pattern of tetracycline-regulation for both of these clones. The range of tetracycline concentrations where GnT III expression can be quantitatively controlled was found to be from 0 to 100 ng/ml (FIGURE 3). This result agrees with previous research using different cell lines and genes (Yin *et al.*, 1996, *supra*).

- To generate a stable cell line with tet-regulated expression of GnT III,
- 15 CHOt17 cells were co-transfected with vector pUHD10-3-GnT III<sub>m</sub> and vector, *pPUR*, for expression of a puromycin resistance gene. In parallel, CHOt17 cells were co-transfected with pUHD10-3-GnT V and *pPUR* vectors to generate an analogous cell line for this other glycosyl transferase. A highly efficient calcium phosphate transfection method was used and the DNA was linearized at unique restriction sites
- 20 outside the eucaryotic expression cassettes, to decrease the probability of disrupting these upon integration. By using a host in which the levels of tTA expressed had first been proven to be adequate, the probability of finding clones with high expression of the glycosyl transferases in the absence of tetracycline is increased.

- Stable integrants were selected by puromycin resistance, keeping
- 25 tetracycline in the medium throughout clone selection to maintain glycosyl transferase expression at basal levels. For each glycosyl transferase, sixteen puromycin resistant clones were grown in the presence and absence of tetracycline, and eight of each were analysed by western blot analysis (FIGURE 4). The majority of the clones showed good regulation of glycosyl transferase expression. One of the GnT III-expressing
- 30 clones showed a relatively high basal level in the presence of tetracycline (FIGURE 4B, clone 3), which suggests integration of the expression cassette close to an endogenous CHO-cell enhancer; while two puromycin-resistant clones showed no expression of GnT III in the absence of tetracycline (FIGURE 4B, clones 6 and 8). Among the clones

showing good regulation of expression, different maximal levels of glycosyl transferase were observed. This may be due to variations in the site of integration or number of copies integrated. Activity of the glycosyl transferases was verified by E-PHA and L-PHA lectin binding to endogenous cellular glycoproteins derived from various clones grown in the presence and absence of tetracycline (FIGURE 5). Lectins are proteins which bind to specific oligosaccharide structures. E-PHA lectin binds to bisected oligosaccharides, the products of GnT III-catalyzed reactions, and L-PHA binds to tri- and tetra-antennary oligosaccharides produced by GnT V-catalyzed reactions (Merkle and Cummings, 1987, *Methods Enzymol.* 138:232-259). For each glycosyl transferase, a clone with high expression in the absence, but with undetectable expression in the presence, of tetracycline (clone 6, FIGURE 4A, CHO-tet-GnT V, and clone 4, FIGURE 4B, CHO-tet-GnT III<sub>m</sub>) was selected for further work.

#### **B. Example 2: Inhibition Of Cell Growth Effected By Glycosyl Transferase Overexpression**

During screening of GnT III<sub>m</sub>- and GnT V-expressing clones in the absence of tetracycline, *see*, Example 1, *supra*, approximately half of each set of clones showed a strong inhibition of growth. The extent of growth-inhibition varied among clones, and comparison with expression levels estimated from western blot analysis (FIGURE 4) suggested a correlation between the degree of growth-inhibition and glycosyl transferase overexpression. This correlation was firmly established by growing the final clones, CHO-tet-GnT III<sub>m</sub> and CHO-tet-GnT V, in different concentrations of tetracycline. A strong inhibition of growth was evident after two days of culture at low levels of tetracycline (FIGURE 6). Growth-inhibited cells displayed a small, rounded morphology instead of the typical extended shape of adherent CHO cells. After a few days, significant cell death was apparent from the morphology of the growth-inhibited cells.

Growth-inhibition due to glycosyl transferase overexpression has not hitherto been reported in the literature, probably due to the widespread use of constitutive promoters. Those clones giving constitutive expression of a glycosyl transferase at growth-inhibiting levels, would be lost during the selection procedure. This was avoided here by keeping tetracycline in the medium, *i.e.*, basal expression levels, throughout selection. Prior to selection, the frequency of clones capable of

expressing glycosyl transferases to growth-inhibiting levels using traditional mammalian vectors based on the constitutive hCMV promoter/enhancer would be expected to be lower. This is due to the fact that, for any given gene, the pUHD10-3 vector in CHO cell lines selected for high constitutive levels of tTA, gives significantly higher expression levels than constitutive hCMV promoter/enhancer-based vectors, as observed by others. Yin *et al.*, 1996, *supra*.

Inhibition of cell growth could be due to a direct effect of overexpression of membrane-anchored, Golgi-resident glycosyl transferases independent of their *in vivo* catalytic activity, *e.g.*, via misfolding in the endoplasmic reticulum (ER) causing saturation of elements which assist protein folding in the ER. This could possibly affect the folding and secretion of other essential cellular proteins. Alternatively, inhibition of growth could be related to increased *in vivo* activity of the glycosyl transferase leading to a change of the glycosylation pattern, in a function-disrupting fashion, of a set of endogenous glycoproteins necessary for growth under standard *in vitro* culture conditions.

Independent of the underlying mechanism, the growth-inhibition effect has two consequences for engineering the glycosylation of animal cells. First, it implies that cotransfection of constitutive glycosyl transferase expression vectors together with vectors for the target glycoprotein product is a poor strategy. Other ways of linking expression of these two classes of proteins, *e.g.*, through the use of multiple constitutive promoters of similar strength or use of multicistronic, constitutive expression vectors, should also be avoided. In these cases, clones with very high, constitutive expression of the target glycoprotein, a pre-requisite for an economical bioprocess, would also have high expression of the glycosyl transferase and would be eliminated during the selection process. Linked, inducible expression could also be problematic for industrial bioprocesses, since the viability of the growth-arrested cells would be compromised by the overexpression of the glycosyl transferase.

The second consequence is that it imposes an upper limit on glycosyl transferase overexpression for glycosylation engineering approaches. Clearly, the conversions of many glycosyl transferase-catalyzed reactions in the cell, at the endogenous levels of glycosyl transferases, are very high for several glycosylation sites. However, glycosylation sites where the oligosaccharides are somewhat inaccessible or are stabilized in unfavorable conformations for specific glycosyl transferases also exist.

For example, it has been observed that addition of bisecting GlcNAc is more restricted to the oligosaccharides attached to the Fc region than to those located on the variable regions of human IgG antibodies. Savvidou *et al.*, 1984, *Biochemistry* 23:3736-3740. Glycosylation engineering of these restricted sites could be affected by such a limit on glycosyl transferase expression. Although this would imply aiming for an "unnatural" distribution of glycoforms, these could be of benefit for special therapeutic applications of glycoproteins.

### C. Example 3: Engineering The Glycosylation Of An Anti-Human Neuroblastoma Antibody In Chinese Hamster Ovary Cells

In order to validate the concept of engineering a therapeutic antibody by modifying its glycosylation pattern, a chimeric anti-human neuroblastoma IgG1 (chCE7) was chosen which has insignificant ADCC activity when produced by SP2/0 recombinant mouse myeloma cells. ChCE7 recognizes a tumor-associated 190-kDa membrane glycoprotein and reacts strongly with all neuroblastoma tumors tested to date. It has a high affinity for its antigen ( $K_d$  of  $10^{10}M^{-1}$ ) and, because of its high tumor-specificity, it is routinely used as a diagnostic tool in clinical pathology. Amstutz *et al.*, 1993, *Int. J. Cancer* 53:147-152. In recent studies, radiolabelled chCE7 has shown good tumor localization in human patients. Dürr, 1993, *Eur. J. Nucl. Med.* 20:858. The glycosylation pattern of chCE7, an anti-neuroblastoma therapeutic monoclonal antibody (mAb) was engineered in CHO cells with tetracycline-regulated expression of GnT III. A set of mAb samples differing in their glycoform distribution was produced by controlling GnT III expression in a range between basal and toxic levels, and their glycosylation profiles were analyzed by MALDI/TOF-MS of neutral oligosaccharides. Measurement of the ADCC activity of these samples showed an optimal range of GnT III expression for maximal chCE7 *in vitro* biological activity, and this activity correlated with the level of Fc-associated bisected, complex oligosaccharides.

## 1. Materials And Methods

### Construction Of chCE7 Expression Vectors.

Plasmid vectors 10CE7VH and 98CE7VL, for expression of heavy (IgG1) and light (kappa) chains, respectively, of anti-human neuroblastoma chimeric antibody chCE7, which contain chimeric genomic DNA including the mouse immunoglobulin

- promoter/enhancer, mouse antibody variable regions, and human antibody constant regions (Amstutz *et al.*, 1993, *Int. J. Cancer* 53:147-152) were used as starting materials for the construction of the final expression vectors, pchCE7H and pchCE7L. Chimeric heavy and light chain chCE7 genes were reassembled and subcloned into the
- 5 pcDNA3.1(+) vector. During reassembly, all introns were removed, the leader sequences were replaced with synthetic ones, Reff *et al.*, 1994, *Blood* 83:435-445, and unique restriction sites joining the variable and constant region sequences were introduced. Introns from the heavy constant region were removed by splicing with overlap-extension-PCR. Clackson *et al.*, 1991, General Applications of PCR to Gene
- 10 Cloning and Manipulation, p. 187-214, in: McPherson *et al.* (ed.), PCR a Practical Approach, Oxford University Press, Oxford.

### ***Production Of chCE7 In CHO Cells Expressing Different Levels Of GnT***

- III.** CHO-tet-GnT III<sub>m</sub> (*see, supra*) cells were co-transfected with vectors pchCE7H, pchCE7L, and pZeoSV2 (for Zeocin resistance, Invitrogen, Groningen, The
- 15 Netherlands) using a calcium phosphate transfection method. Zeocin resistant clones were transferred to a 96-well cell culture plate and assayed for chimeric antibody expression using an ELISA assay specific for human IgG constant region. Lifely *et al.*, 1995, *supra*. Four chCE7 antibody samples were derived from parallel cultures of a selected clone (CHO-tet-GnT III<sub>m</sub>-chCE7), grown in FMX-8 cell culture medium
- 20 supplemented with 10% FCS; each culture containing a different level of tetracycline and therefore expressing GnT III at different levels. CHO-tet-GnT III<sub>m</sub>-chCE7 cells were expanded and preadapted to a different concentration of tetracycline during 7 days. The levels of tetracycline were 2000, 60, 30, and 15 ng/ml.

- Purification Of chCE7 Antibody Samples.** Antibody was purified from
- 25 culture medium by Protein A affinity chromatography on a 1 ml HiTrap Protein A column (Pharmacia Biotech, Uppsala, Sweden), using linear pH gradient elution from 20 mM sodium phosphate, 20 mM sodium citrate, 500 mM sodium chloride, 0.01% Tween 20, 1M urea, pH 7.5 (buffer A) to buffer B (buffer A without sodium phosphate, pH 2.5). Affinity purified chCE7 samples were buffer exchanged to PBS on a 1 ml
- 30 ResourceS cation exchange column (Pharmacia Biotech, Uppsala, Sweden). Final purity was judged to be higher than 95% from SDS-PAGE and Coomassie-Blue staining. The concentration of each sample was estimated from the absorbance at 280 nm.

### ***Binding Of Antibodies To Neuroblastoma Cells.*** Binding affinity to human

neuroblastoma cells was estimated from displacement of  $^{125}\text{I}$ -labeled chCE7 by the CHO-produced samples. Amstutz *et al.*, 1993, *supra*.

- Oligosaccharide Analysis By MALDI/TOF-MS.** CE7-2000t, -60t, -30t, and -15t samples were treated with *A. urefaciens* sialidase (Oxford Glycosciences, Oxford, United Kingdom), following the manufacturer's instructions, to remove any sialic acid monosaccharide residues. The sialidase digests were then treated with peptide N-glycosidase F (PNGaseF, Oxford Glycosciences, Oxford, United Kingdom), following the manufacturer's instructions, to release the N-linked oligosaccharides. Protein, detergents, and salts were removed by passing the digests through microcolumns containing, from top to bottom, 20 ml of SepPak C18 reverse phase matrix (Waters, Milford, MA), 20 ml of Dowcx AG 50W X8 cation exchange matrix (BioRad, Hercules, CA), and 20 ml of AG 4X4 anion exchange matrix (BioRad, Hercules, CA). The microcolumns were made by packing the matrices in a Gel Loader tip (Eppendorf, Basel, Switzerland) filled with ethanol, followed by an equilibration with water. Küster *et al.*, 1997, *Anal. Biochem.* 250:82-101. Flow through liquid and a 300 ml-water wash were pooled, filtered, evaporated to dryness at room temperature, and resuspended in 2 ml of deionized water. One microliter was applied to a MALDI-MS sample plate (Perseptive Biosystems, Farmingham, MA) and mixed with 1 ml of a 10 mg/ml dehydrobenzoic acid (DHB, Aldrich, Milwaukee, Wisconsin) solution in acetonitrile.
- The samples were air dried and the resulting crystals were dissolved in 0.2 ml of ethanol and allowed to recrystallize by air drying. Harvey, 1993, *Rapid Mass. Spectrom.* 7:614-619. The oligosaccharide samples were then analyzed by matrix-assisted laser desorption ionization/time-of-flight-mass spectrometry (MALDI/TOF-MS) using an Elite Voyager 400 spectrometer (Perseptive Biosystems, Farmingham, MA), equipped with a delayed ion extraction MALDI-ion source, in positive ion and reflector modes, with an acceleration voltage of 20 kV. One hundred and twenty eight scans were averaged. Bisected biantennary complex oligosaccharide structures were assigned to five-HexNAc-associated peaks. Non-bisected tri-antennary N-linked oligosaccharides, the alternative five HexNAc-containing isomers, have never been found in the Fc region of IgGs and their syntheses are catalyzed by glycosyltransferases discrete from GnT III.

**ADCC Activity Assay.** Lysis of IMR-32 human neuroblastoma cells (target) by human lymphocytes (effector), at a target:effector ratio of 1:19, during a 16 h incubation at 37 °C in the presence of different concentrations of chCE7 samples, was

measured *via* retention of a fluorescent dye. Kolber *et al.*, 1988, *J. Immunol. Methods* 108: 255-264. IMR-32 cells were labeled with the fluorescent dye Calcein AM for 20 min (final concentration 3.3  $\mu$ M). The labeled cells (80'000 cells/well) were incubated for 1h with different concentrations of CE7 antibody. Then, monocyte depleted  
5 mononuclear cells were added (1'500'000 cells/well) and the cell mixture was incubated for 16 h at 37°C in a 5% CO<sub>2</sub> atmosphere. The supernatant was discarded and the cells were washed once with HBSS and lysed in Triton X-100 (0.1%). Retention of the fluorescent dye in IMR-32 cells was measured with a fluorometer (Perkin Elmer, Luminescence Spectrometer LS 50B, (Foster City, CA) and specific lysis was calculated  
10 relative to a total lysis control, resulting from exposure of the target to a detergent instead of exposure to antibody. The signal in the absence of antibody was set to 0% cytotoxicity. Each antibody concentration was analyzed by triplicate, and the assay was repeated three separate times.

## 15 2. Results And Discussion

### *Production Of chCE7 In CHO Cells Expressing Different Levels Of GnT III.*

ChCE7 heavy and light chain expression vectors were constructed incorporating the human cytomegalovirus (hCMV) promoter, the bovine growth hormone termination and polyadenylation sequences, and eliminating all heavy and  
20 light chain introns. This vector design was based on reports of reproducible high-level expression of recombinant IgG genes in CHO cells. Reff *et al.*, 1994, *supra*; Trill *et al.*, 1995, *Current Opinion Biotechnol.* 6:553-560. In addition, a unique restriction sites was introduced in each chain, at the junction between the variable and constant regions. These sites conserve the reading frame and do not change the amino acid sequence.  
25 They should enable simple exchange of the mouse variable regions, for the production of other mouse-human chimeric antibodies. Reff *et al.*, 1994, *supra*. DNA sequencing confirmed that the desired genes were appropriately assembled, and production of the chimeric antibody in transfected CHO cells was verified with a human Fc-ELISA assay.

CHO-tet-GnT III<sub>Im</sub>-chCE7 cells, with stable, tetracycline-regulated  
30 expression of GnT III and stable, constitutive expression of chCE7, were established and scaled-up for production of a set of chCE7 samples. During scale-up, four parallel cultures derived from the same CHO clone were grown, each at a different level of tetracycline and therefore only differing in the level of expression of the GnT III gene.

This procedure eliminates any clonal effects from other variables affecting N-linked glycoform biosynthesis, permitting a rigorous correlation to be established between GnT III gene expression and biological activity of the glycosylated antibody. The tetracycline concentration ranged from 2000 ng/ml, *i.e.*, the basal level of GnT III expression, to 15 ng/ml, at which significant growth inhibition and toxicity due to glycosyl transferase overexpression was observed (*see, supra*). Indeed, only a small amount of antibody could be recovered from the latter culture. The second highest level of GnT III expression, using tetracycline at a concentration of 30 ng/ml, produced only a mild inhibition of growth. The purified antibody yield from this culture was approximately 70% that from the remaining two lower levels of GnT III gene overexpression.

The four antibody samples, CE7-2000t, -60t, -30t, and -15t, numbers denoting the associated concentration of tetracycline, were purified by affinity chromatography on Protein A and buffer exchanged to PBS using a cation exchange column. Purity was higher than 95% as judged from SDS-PAGE with Coomassie Blue staining. Binding assays to human neuroblastoma cells revealed high affinity to the cells and no significant differences in antigen binding among the different samples (estimated equilibrium dissociation constants varied between  $2.0$  and  $2.7 \times 10^{-10}$  M). This was as expected, since there are no potential N-linked glycosylation sites in the CE7 variable regions.

***Oligosaccharide Distributions And Levels Of Bisected Complex Oligosaccharides Of Different chCE7 Samples.*** Oligosaccharide profiles were obtained by matrix-assisted laser desorption/ionization mass spectrometry on a time-of-flight instrument (MALDI/TOF-MS). Mixtures of neutral N-linked oligosaccharides derived from each of the four CHO-produced antibody samples and from a SP2/0 mouse myeloma-derived chCE7 (CE7-SP2/0) sample were analyzed using 2,5-dehydrobenzoic acid (2,5-DHB) as the matrix (FIGURE 9). Under these conditions, neutral oligosaccharides appear essentially as single  $[M + Na^+]$  ions, which are sometimes accompanied by smaller  $[M + K^+]$  ions, depending on the potassium content of the matrix. Bergweff *et al.*, 1995, *Glycoconjugate J.* 12:318-330.

This type of analysis yields both the relative proportions of neutral oligosaccharides of different mass, reflected by relative peak height, and the isobaric monosaccharide composition of each peak. Küster *et al.*, 1997, *supra*; Naven and

Harvey, 1996, *Rapid Commun. Mass Spectrom.* **10**:1361-1366. Tentative structures are assigned to peaks based on the monosaccharide composition, knowledge of the biosynthetic pathway, and on previous structural data for oligosaccharides derived from the same glycoprotein produced by the same host, since the protein backbone and the cell type can have a strong influence on the oligosaccharide distribution. Field *et al.*, 1996, *Anal. Biochem.* **239**:92-98. In the case of Fc-associated oligosaccharides, only bi-antennary complex oligosaccharides have been detected in IgGs present in human serum or produced by mammalian cell cultures under normal conditions. Wornald *et al.*, 1997, *Biochemistry* **36**:1370-1380; Wright and Morrison, 1997, *Tibtech* **15**:26-31.

The pathway leading to these compounds is illustrated in FIGURE 10, including the mass of the  $[M + Na^+]$  ion corresponding to each oligosaccharide. High mannose oligosaccharides have also been detected on antibodies produced in the stationary and death phases of batch cell cultures. Yu Ip *et al.*, 1994, *Arch. Biochem. Biophys.* **308**:387-399.

The two major peaks in the CE7-SP2/0 sample (FIGURE 9A) correspond to masses of fucosylated oligosaccharides with four N-acetylhexosamines (HexNAcs) containing either three ( $m/z$  1486) or four ( $m/z$  1648) hexoses. See, FIGURE 10, but note that the summarized notation for oligosaccharides in this figure does not count the two GlcNAcs of the core. This composition is consistent with core fucosylated, bi-antennary complex oligosaccharide structures carrying zero or one galactose residues, respectively, typical of Fc-associated oligosaccharides, and as previously observed in NMR analysis of Fc oligosaccharides derived from a chimeric IgG1 expressed in SP2/0 cells. Bergweff *et al.*, 1995, *supra*.

GnT III-catalyzed transfer of a bisecting GlcNAc to these bi-antennary compounds, which are the preferred GnT III acceptors, would lead to oligosaccharides with five HexNAcs ( $m/z$  1689 and 1851, non- and mono-galactosylated, respectively, FIGURE 10), which are clearly absent in the CE7-SP2/0 sample. The latter peaks appear when chCE7 is expressed in CHO-tet-GnTIII<sup>+</sup> cells. In the CHO-expressed antibodies the four HexNAc-containing peaks are also mainly fucosylated, although a small amount of non-fucosylated structures is evident from the peak at  $m/z$  1339 (see, FIGURE 10). The level of galactosylation is also not very different between the CHO- and SP2/0-derived material. At the basal level of GnT III expression (CE7-2000t sample, FIGURE 9B), the molecules with five HexNAcs are present in a lower

proportion than those with four HexNAcs. A higher level of GnT III expression (CE7-60t sample, FIGURE 9C) led to a reversal of the proportions in favor of oligosaccharides with five HexNAcs. Based on this trend, bisected, bi-antennary complex oligosaccharide structures can be assigned to compounds with five HexNAcs in these samples. Tri-antennary N-linked oligosaccharides, the alternative five HexNAc-containing isomers, have never been found in the Fc region of IgGs and their syntheses are catalyzed by GlcNAc-transferases discrete from GnT III.

A further increase in GnT III expression (CE7-30t sample, FIGURE 9D) did not lead to any significant change in the levels of bisected complex oligosaccharides. Another peak ( $m/z$  1543) containing five HexNAcs appears at low, but relatively constant levels in the CHO-GnTIII samples and corresponds in mass to a non-fucosylated, bisected-complex oligosaccharide mass (FIGURE 10). The smaller peaks at  $m/z$  1705 and 1867, also correspond to five HexNAc-containing bi-antennary complex oligosaccharides. They can be assigned either to potassium adducts of the peaks at  $m/z$  1689 and 1851 (mass difference of 16 Da with respect to sodium adducts) (Küster *et al.*, 1997, *supra*) or to mono- and bi-galactosylated, bisected complex oligosaccharides without fucose (FIGURE 10). Together, the bisected complex oligosaccharides amount to approximately 25% of the total in sample CE7-2000t and reach approximately 45 to 50% in samples CE7-60t and CE7-30t.

#### **Additional information From The Oligosaccharide Profiles Of chCE7**

**Samples.** Although the levels of bisected complex oligosaccharides were not higher in sample CE730t, increased overexpression of GnT III did continue to reduce, albeit to a small extent, the proportions of substrate bi-antennary complex oligosaccharide substrates. This was accompanied by moderate increases in two different, four HexNAc-containing peaks ( $m/z$  1664 and 1810). The latter two peaks can correspond either to galactosylated bi-antennary complex oligosaccharides or to bisected hybrid compounds (FIGURE 11). A combination of both classes of structures is also possible. The relative increase in these peaks is consistent with the accumulation of bisected hybrid by-products of GnT III overexpression. Indeed, the sample produced at the highest level of GnT III overexpression, CE7-15t, showed a large increase in the peak at  $m/z$  1664, a reduction in the peak at  $m/z$  1810 and a concomitant reduction of complex bisected oligosaccharides to a level of approximately 25%. See, peaks with  $m/z$  1689 and 1851 in FIGURE 9E and the corresponding structures in FIGURE 11. Higher

accumulation of non-fucosylated ( $m/z$  1664) bisected hybrid by-products, instead of fucosylated ones ( $m/z$  1810), would agree with the fact that oligosaccharides which are first modified by GnT III can no longer be biosynthetic substrates for core  $\alpha$ 1,6-fucosyltransferase. Schachter, 1986, *Biochem. Cell Biol.* 64:163-181.

5 The peak at  $m/z$  1257 is present at a level of 10 -15% of the total in the CHO-derived samples and at a lower level in CE7-SP2/0 (FIGURE 9). It corresponds to five hexoses plus two HexNAcs. The only known N-linked oligosaccharide structure with this composition is a five mannose-containing compound of the high-mannose type. Another high mannose oligosaccharide, a six mannose one ( $m/z$  1420), is also  
10 present at much lower levels. As mentioned above, such oligosaccharides have been detected in the Fc of IgGs expressed in the late phase of batch cell cultures. Yu Ip *et al.*, 1994, *supra*.

***Antibody Dependent Cellular Cytotoxicity Of chCE7 Samples.*** ChCE7 shows some ADCC activity, measured as *in vitro* lysis of neuroblastoma cells by human  
15 lymphocytes, when expressed in CHO-tet-GnTIII<sup>tm</sup> cells with the minimum level of GnT III overexpression (FIGURE 12, sample CE7-2000t). Raising the level of GnT III produced a large increase in ADCC activity (FIGURE 12, sample CE7-60t). Further overexpression of GnT III was not accompanied by an additional increase in activity (FIGURE 12, sample CE7-30t), and the highest level of expression actually led to  
20 reduced ADCC (FIGURE 12, sample CE7-15t). Besides exhibiting the highest ADCC activities, both CE7-60t and CE7-30t samples show significant levels of cytotoxicity at very low antibody concentrations. These results show that there is an optimal range of GnT III overexpression in CHO cells for ADCC activity, and comparison with oligosaccharide profiles shows that activity correlates with the level of Fc-associated,  
25 bisected complex oligosaccharides.

Given the importance of bisected complex oligosaccharides for ADCC activity, it would be useful to engineer the pathway to further increase the proportion of these compounds. Overexpression of GnT III to levels approaching that used for sample CE7-30t is within the biotechnologically practical range where no significant  
30 toxicity and growth inhibition are observed. At this level of expression, the non-galactosylated, non-bisected, bi-antennary complex oligosaccharides, *i.e.*, the preferred, potential GnT III substrates, are reduced to less than 10% of the total. See,  $m/z$  1486 peak, FIGURE 9D. However, only 50% are converted to the desired bisected

bi-antennary complex structures. The rest are either diverted to bisected, hybrid oligosaccharide byproducts or consumed by the competing enzyme  $\beta$ 1,4-galactosyltransferase, GalT (FIGURE 11).

Resolution of the bisected hybrid and the non-bisected, galactosylated complex oligosaccharide peaks by complementary structural analyses would determine how much each potential, undesired route is consuming. The growth of the  $m/z$  1664 and 1810 peaks at high GnT III overexpression levels suggests that at least a fraction of these peaks corresponds to bisected hybrid oligosaccharides (FIGURE 11). In theory, a flux going to bisected hybrid compounds can be reduced by co-overexpression of enzymes earlier in the pathway such as mannosidase II together with GnT III. On the other hand, competition between GnT III and GalT for bisected complex oligosaccharide substrates could potentially be biased towards GnT III-catalyzed reactions, by increasing the intra-Golgi concentration of UDP-GlcNAc while overexpressing GnT III. GnT III transfers a GlcNAc from the co-substrate UDP-GlcNAc to the different oligosaccharides. Should the intra-Golgi concentration of UDP-GlcNAc co-substrate be sub-saturating for GnT III, then increasing it, either by manipulation of the culture medium composition or by genetic manipulation of sugar-nucleotide transport into the Golgi, could favor GnT III in a competition for oligosaccharides with GalT.

It remains to be determined whether the increase in ADCC activity results from the increase in both the galactosylated and non-galactosylated, bisected complex oligosaccharides, or only from one of these forms. See, peaks at  $m/z$  1689 and 1851 in FIGURE 9. If it is found that galactosylated, bisected complex bi-antennary oligosaccharides are the optimal structures for increased ADCC activity, then maximizing the fraction of these compounds on the Fc region would require overexpression of both GnT III and GalT. Given the competitive scenario discussed previously, the expression levels of both genes would have to be carefully regulated. In addition, it would be valuable to try to re-distribute overexpressed GalT as much as possible towards the TGN instead of the trans-Golgi cisterna. The latter strategy may be realized by exchanging the transmembrane region-encoding sequences of GalT with those of  $\alpha$ 2,6-sialyltransferase (Chege and Pfeffer, 1990, *J. Cell. Biol.* 111:893-899).

**D. Example 4: Engineering The Glycosylation Of The Anti-CD20 Monoclonal Antibody C2B8**

C2B8 is an anti-human CD20 chimeric antibody, Reff, M.E. *et al*, 1994, *supra*. It received FDA approval in 1997 and is currently being used, under the commercial name of Rituxan<sup>TM</sup>, for the treatment of Non-Hodgkin's lymphoma in the United States. It is derived from CHO cell culture and therefore should not carry bisected oligosaccharides. *See, supra*. In order to produce an improved version of this antibody, the method demonstrated previously for the chCE7 anti-neuroblastoma antibody was applied. *See, supra*. C2B8 antibody modified according to the disclosed method had a higher ADCC activity than the standard, unmodified C2B8 antibody produced under identical cell culture and purification conditions.

**I. Material And Methods**

***Synthesis Of The Variable Light And Variable Heavy Chain***

**Regions Of Chimeric Anti-CD20 Monoclonal Antibody (C2B8).** The VH and VL genes of the C2B8 antibody were assembled synthetically using a set of overlapping single-stranded oligonucleotides (primers) in a one-step process using PCR, Kobayashi *et al*, 1997, *Biotechniques* 23: 500-503. The sequence data coding for mouse immunoglobulin light and heavy chain variable regions (VL and VH respectively) of the anti-CD20 antibody were obtained from a published international patent application (International Publication Number: WO 94/11026). The assembled DNA fragments were subcloned into pBluescriptIIKS(+) and sequenced by DNA cycle sequencing to verify that no mutations had been introduced.

***Contruction Of Vectors For Expression Of Chimeric Anti-CD20***

**Monoclonal Antibody (C2B8).** VH and VL coding regions of the C2B8 monoclonal antibody were subcloned in pchCE7II and pchCE7L respectively. In the subcloning, the sequences coding for the variable heavy and light chains of the anti-neuroblastoma CE7 (*see, supra*) were exchanged with the synthetically assembled variable heavy and variable light chain regions of C2B8.

**Generation Of CHO-tet-GnTIIIm Cells Expressing C2B8 Antibody.** The method for the generation of a CHO-tet-GnTIIIm cell line expressing C2B8 antibody was exactly the same as for CHO-tet-GnTIIIm-CE7. *See, supra*. The clone chosen for further work was named CHO-tet-GnTIIIm-C2B8.

**Generation Of CHO-tTA Expressing C2B8 Antibody.** CHO-tTA is the parental cell line of CHO-tet-GnTIII. *See, supra.* The method for the generation of a CHO-tTA cell line expressing C2B8 antibody without GnT III expression was exactly the same as for CHO-tet-GnTIII-C2B8 and CHO-tet-GnTIII-chCE7. *See, supra.*

- 5 The clone chosen for further work was named CHO-tTA-C2B8.

**Production Of C2B8 Antibody Samples.** Two C2B8 antibody samples were derived from parallel CHO-tet-GnTIII-C2B8 cultures; each culture containing different levels of tetracycline and therefore expected to express GnTIII at different levels. The levels of tetracycline were 2000, 50, and 25ng/ml. The C2B8 antibody

10 samples derived from these cultures were designated as C2B8-2000t, C2B8-50t, and C2B8-25t, respectively. In parallel, one antibody sample (C2B8-nt) was made from a CHO-tTA-C2B8 culture, this cell line does not express GnT III. CHO-tTA-C2B8 cells were cultured without tetracycline.

**Analysis Of GnT III Expression.** For Western blot analysis of GnT III, cell

15 lysates of each of the production cultures were resolved by SDS-PAGE and electroblotted to polyvinylidene difluoride membranes. Anti-c-myc monoclonal antibody 9E10 and anti-mouse IgG-horseradish peroxidase (Amersham, Arlington, IL) were used as primary and secondary antibodies respectively. Bound antibody was detected using an enhanced chemiluminescence kit (Amersham, Arlington, IL).

20 **Purification Of C2B8 Antibody Samples.** Antibody samples were purified using the same procedure as for the chCE7 antibody samples. *See, supra.* The concentration was measured using a fluorescence based kit from Molecular Probes (Leiden, The Netherlands).

**Verification Of Specific C2B8 Antigen Binding .** The specificity of antigen

25 binding of the C2B8 anti-CD20 monoclonal antibody was verified using an indirect immunofluorescence assay with cells in suspension. For this study, CD20 positive cells (SB cells; ATCC deposit no. ATCC CCL120) and CD20 negative cells (HSB cells; ATCC deposit no. ATCC CCL120.1) were utilized. Cells of each type were incubated with C2B8 antibody produced at 25ng/ml tetracycline, as a primary antibody. Negative

30 controls included HBSSB instead of primary antibody. An anti-human IgG Fc specific, polyclonal, FITC conjugated antibody was used for all samples as a secondary antibody (SIGMA, St. Louis, MO). Cells were examined using a Leica (Bensheim, Germany) fluorescence microscope.

**ADCC Activity Assay.** Lysis of SB cells (CD20+ target cells; ATCC deposit no. ATCC CCL120) by human monocyte depleted peripheral blood mononuclear cells (effector cells) in the presence of different concentrations of C2B8 samples was performed basically following the same procedure described in Brunner *et al.*, 1968, *Immunology* 14:181-189. The ratio of effector cells to target cells was 100:1.

## 2. Results And Discussion

**GnT III Is Expressed At Different Levels In Different Cell Lines And Cultures.** The cells of the parallel CHO-tet-GnTIII<sup>tm</sup>-C2B8 cultures, each culture containing different levels of tetracycline (2000, 50, and 25ng/ml) and therefore expected to express GnTIII at different levels, were lysed and the cell lysates were resolved by SDS-PAGE and detected by Western blotting. The lysates of the culture grown at 25ng/ml tetracycline showed an intense band at the corresponding molecular weight of GnT III whereas cultures grown at 50 and at 2000ng/ml had much less expression of GnT III as shown in FIGURE 13.

**Verification Of Specific C2B8 Antigen Binding.** C2B8 samples produced from parallel cultures of cells expressing different levels of GnT III were purified from the culture supernatants by affinity chromatography and buffer exchanged to PBS on a cation exchange column. Purity was estimated to be higher than 95% from Coomassie Blue staining of an SDS-PAGE under reducing conditions. These antibody samples were derived from expression of antibody genes whose variable regions were synthesized by a PCR assembly method. Sequencing of the synthetic cDNA fragments revealed no differences to the original C2B8 variable region sequences previously published in an international patent application (International Publication Number WO 94/11026). Specific binding of the samples to human CD20, the target antigen of C2B8, was demonstrated by indirect immunofluorescence using a human lymphoblastoid cell line SB expressing CD20 on its surface and an HSB lymphoblastoid cell line lacking this antigen. Antibody sample C2B8-25t gave positive staining of SB cells (FIGURE 14A), but not of HSB cells under identical experimental conditions (see FIGURE 14B). An additional negative control consisted of SB cells incubated with PBS buffer instead of C2B8-25t antibody. It showed no staining at all.

**In Vitro ADCC Activity Of C2B8 Samples.** The antibody sample C2B8-nt expressed in CHO-tTA-C2B8 cells that do not have GnT III expression (*see, supra*)

showed 31% cytotoxic activity (at 1 µg/ml antibody concentration), measured as *in vitro* lysis of SB cells (CD20+) by human lymphocytes (FIGURE 15, sample C2B8-nt). C2B8-2000t antibody derived from a CHO-tet-GnTIII culture grown at 2000ng/ml of tetracycline (*i.e.*, at the basal level of cloned GnT III expression) showed at 1 µg/ml antibody concentration a 33% increase in ADCC activity with respect to the C2B8-nt sample at the same antibody concentration. Reducing the concentration of tetracycline to 25ng/ml (sample C2B8-25t), which significantly increased GnTIII expression, produced a large increase of almost 80% in the maximal ADCC activity (at 1 µg/ml antibody concentration) with respect to the C2B8-nt antibody sample at the same antibody concentration (FIGURE 15, sample C2B8-25t).

Besides exhibiting the highest ADCC activity, C2B8-25t showed significant levels of cytotoxicity at very low antibody concentrations. The C2B8-25t sample at 0.06 µg/ml showed an ADCC activity similar to the maximal ADCC activity of C2B8-nt at 1 µg/ml. This result showed that sample C2B8-25t, at a 16- fold lower antibody concentration, reached the same ADCC activity as C2B8-nt. This result indicates that the chimeric anti-CD20 antibody C2B8 produced in a cell line actively expressing GnT III was significantly more active than the same antibody produced in a cell line that did not express GnT III.

One advantage of this antibody using the methods of the invention is that (1) lower doses of antibody have to be injected to reach the same therapeutic effect, having a beneficial impact in the economics of antibody production, or (2) that using the same dose of antibody a better therapeutic effect is obtained.

**E. Example 5: Establishment Of CHO Cell Lines With Constitutive Expression Of Glycosyltransferase Genes At Optimal Levels Leading To Maximal ADCC Activity**

In some applications of the method for enhancing the ADCC it may be desirable to use constitutive rather than regulated expression of GnT III on its own or together with other cloned glycosyltransferases and/or glycosidases. However, the inventors have demonstrated that ADCC activity of the modified antibody depends on the expression level of GnT III. *See, supra*. Therefore, it is important to select a clone with constitutive expression of GnT III alone or together with other glycosyltransferase and/or glycosidase genes at optimal or near optimal levels. The optimal levels of

expression of GnT III, either alone or together with other glycosyl transferases such as  $\beta$ (1,4)-galactosyl transferase (GalT), are first determined using cell lines with regulated expression of the glycosyl transferases. Stable clones with constitutive expression of GnT III and any other cloned glycosyltransferase are then screened for expression levels  
5 near the optimum.

### 1. Determination Of Near-optimal Expression Levels

#### *Construction Of A Vector For Regulated GnT III*

**Expression linked To GFP Expression.** Each glycosyl transferase gene is linked, via  
10 an IRES sequence, to a reporter gene encoding a protein retained in the cell, e.g., green fluorescent protein (GFP) or a plasma membrane protein tagged with a peptide that can be recognized by available antibodies. If more than one glycosyl transferase is being tested, a different marker is associated with each glycosyl transferase, e.g., GnT III may be associated to GFP and GalT may be associated to blue fluorescent protein (BFP). An  
15 eucaryotic expression cassette consisting of the GnT III cDNA upstream of an IRES element upstream of the GFP cDNA is first assembled by standard subcloning and/or PCR steps. This cassette is then subcloned in the tetracycline regulated expression vector pUHD10-3 (see, *supra*), downstream of the tet-promoter and upstream of the termination and polyadenylation sequences resulting in vector pUHD10-3-GnTIII-GFP.

**Establishment Of CHO Cells With Regulated GnTIII Expression Linked To GFP Expression And Constitutive chCE7 Antibody Expression.** CHO-tTA cells (see, *supra*) expressing the tetracycline-responsive transactivator, are co-transfected with vector pUHD10-3-GnTIII-GFP and vector pPur for expression of a puromycin-resistance gene. See, *supra*. Puromycin resistant clones are selected in the presence of  
25 tetracycline. Individual clones are cultured by duplicate in the presence (2 $\mu$ g/ml) or absence of tetracycline. Six clones that show inhibition of growth in the absence of tetracycline, due to glycosyltransferase overexpression (see, *supra*), are selected and analyzed by fluorescence-activated cell sorting (FACS) for detection of the GFP-associated signal. A clone giving the highest induction ratio, defined as the ratio of  
30 fluorescence in the absence of tetracycline to fluorescence in the presence of tetracycline is chosen for further work and designated as CHO-tet-GnTIII-GFP. CHO-tet-GnTIII-GFP are transfected with expression vectors for antibody chCE7 and a clone with high constitutive expression of this antibody is selected CHO-tet-GnTIII-GFP-

chCE7. See, *supra*.

***Production Of chCE7 Samples, Measurement Of ADCC Activity And Determination Of Optimal GnTIII Expression Levels.*** Parallel cultures of CHO-tet-GnTIII-GFP-chCE7 are grown at different levels of tetracycline, and therefore  
5 expressing GnTIII together with GFP at different levels. chCE7 antibody samples are purified from the culture supernatants by affinity chromatography. In parallel, the cells from each culture are analyzed by FACS to determine the mean level of GFP-associated fluorescence, which is correlated to the expression level of GnT III, of each culture. The *in vitro* ADCC activity of each chCE7 antibody sample is determined (see, *supra*)  
10 and the maximal *in vitro* ADCC activity of each sample is plotted against the mean fluorescence of the cells used to produce it.

## **2. Establishment Of A CHO Cell Line With Constitutive GnTIII expression At Near-optimal Levels**

15 The GnTIII-IRES-GFP cassette (see, *supra*) is subcloned in a constitutive expression vector. CHO cells are stably co-transfected with this vector and a vector for puromycin resistance. Puromycin resistant cells are selected. This population of stably transfected cells is then sorted *via* FACS, and clones are selected which express the levels of reporter GFP gene near the within the range where optimal  
20 or near-optimal ADCC activity is achieved. See, *supra*. This final transfection step may be done either on CHO cells already stably expressing a therapeutic antibody or on empty CHO cells, e.g., DUKX or DG44 dhfr- CHO cells. In the latter case, the clones obtained from the procedure described above will be transfected with therapeutic antibody-expression vectors in order to generate the final antibody-producing cell lines.

## **F. Example 6: Cell Surface Expression Of A Human IgG Fc Chimera With Optimized Glycosylation**

25 Encapsulated cell therapy is currently being tested for a number of diseases. An encapsulated cell implant is designed to be surgically placed into the body  
30 to deliver a desired therapeutic substance directly where it is needed. However, if once implanted the encapsulated device has a mechanical failure, cells can escape and become undesirable. One way to destroy escaped, undesirable cells in the body is *via* an Fc-mediated cellular cytotoxicity mechanism. For this purpose, the cells to be

encapsulated can be previously engineered to express a plasma membrane-anchored fusion protein made of a type II transmembrane domain that localizes to the plasma membrane fused to the N-terminus of an Fc region. Stabila, P.F., 1998, *supra*. Cells inside the capsule are protected against Fc-mediated cellular cytotoxicity by the capsule, while escaped cells are accessible for destruction by lymphocytes which recognize the surface-displayed Fc regions, *i.e.*, *via* an Fc-mediated cellular cytotoxicity mechanism. This example illustrates how this Fc-mediated cellular cytotoxicity activity is enhanced by glycosylation engineering of the displayed Fc regions.

10                                    **1.     Establishment Of Cells Expressing The Fc Chimera On Their Surface And Expressing GnTIII**

Cells to be implanted for a particular therapy, for example baby hamster kidney (BHK) cells, which already produce the surface-displayed Fc chimera and a secreted, therapeutic protein, are first stably transfected with a vector for constitutive expression of GnTIII linked *via* an IRES element to expression of GFP. See, *supra*. Stable transfectants are selected by means of a marker incorporated in the vector, *e.g.*, by means of a drug resistance marker and selected for survival in the presence of the drug.

20                                    **2.     Screening Of Cells Expressing Different Levels Of GnTIII And Measurement**

Stable transfectants are analyzed by fluorescence-activated cell sorting (FACS) and a series of clones with different mean fluorescence levels are selected for further studies. Each selected clone is grown and reanalyzed by FACS to ensure stability of GFP, and therefore associated GnT III, expression.

**3.     Verification Of Different Levels Of Bisected Complex Oligosaccharides On The Displayed Fc Regions**

Fc regions from three clones with different levels of GFP-associated fluorescence and from the original BHK cells not transfected with the GnTIII-IRES-GFP vector are solubilized from the membrane by means of a detergent and then purified by affinity chromatography. The oligosaccharides are then removed, purified and analyzed by MALDI-TOF/MS. See, *supra*. The resulting MALDI-TOF/MS profiles show that the Fc-regions of the modified, fluorescent clones carry

different proportions of bisected complex oligosaccharides. The MALDI profile from the unmodified cells does not show any peak associated to bisected oligosaccharides. The clone with carrying the highest levels of bisected complex oligosaccharides on the displayed Fc regions is chosen for further work.

5

#### 4. *In vitro* Fc-mediated Cellular Cytotoxicity Activity Assay

Two Fc-mediated cellular cytotoxicity activity assays are then conducted in parallel. In one assay the target cells are derived from the clone selected above. In the parallel assay the target cells are the original cells to be encapsulated and  
10 which have not been modified to express GnTIII. The assay is conducted using the procedure described previously (*see, supra*) but in the absence of any additional antibody, since the target cells already display Fc regions. This experiment demonstrates that the Fc-mediated cellular cytotoxicity activity against the cells expressing GnT III is higher than that against cells not expressing this  
15 glycosyltransferase.

All references cited within the body of the instant specification are hereby incorporated by reference in their entirety.

CLAIMS

What is claimed is:

- 5           1.     A host cell engineered to express at least one nucleic acid encoding a glycoprotein-modifying glycosyl transferase at a regulated level.
2.     The host cell of Claim 1, wherein a nucleic acid molecule comprising at least one gene encoding a glycoprotein-modifying glycosyl transferase has been  
10 introduced in said host cell.
3.     The host cell of Claim 1, wherein said host cell has been engineered such that an endogenous glycoprotein-modifying glycosyl transferase is activated.
- 15          4.     The host cell of Claim 2 or 3, wherein said host cell is a CHO cell, a BHK cell, a NS0 cell, a SP2/0 cell, or a hybridoma cell.
5.     The host cell of Claim 3, wherein said endogenous glycoprotein-modifying glycosyl transferase has been activated by insertion of a regulated promoter  
20 element into the host cell chromosome.
6.     The host cell of Claim 2 or 3, wherein said glycoprotein-modifying glycosyl transferase is GnT III, GnT V, Man II, or Gal T.
- 25          7.     The host cell of Claim 2 or 3, wherein said host cell is engineered to express at least two different glycoprotein-modifying glycosyl transferases selected from the group consisting of GnT III, GnT V, Man II, and Gal T.
8.     The host cell of Claim 7, wherein at least one gene encoding a  
30 glycoprotein-modifying glycosyl transferase is operably linked to a constitutive promoter element.
9.     The host cell of Claim 2, wherein at least one gene encoding a

glycoprotein-modifying glycosyl transferase is operably linked to a regulated promoter element.

10           10.     The host cell of Claim 5 or 9, wherein the regulated promoter  
5     element is a tetracycline-regulated promoter system, an ecdysone-inducible promoter system, a lac-switch promoter system, a glucocorticoid-inducible promoter system, a temperature-inducible promoter system, or a metallothionein metal-inducible promoter system.

10           11.     A host cell engineered to express at least one nucleic acid molecule encoding a glycoprotein-modifying glycosyl transferase, wherein said host cell is capable of producing a protein having enhanced Fc-mediated cellular cytotoxicity.

15           12.     The host cell of Claim 11, wherein said protein is a whole antibody molecule, an antibody fragment, or a fusion protein that includes a region equivalent to the Fc region of an immunoglobulin.

20           13.     The host cell of Claim 12, wherein a nucleic acid molecule comprising at least one gene encoding a glycoprotein-modifying glycosyl transferase has been introduced into said host cell chromosome.

25           14.     The host cell of Claim 12, wherein said host cell has been selected to carry a mutation triggering expression of an endogenous glycoprotein-modifying glycosyl transferase.

15           15.     The host cell of Claim 14, wherein said host cell is the mutant lec10.

30           16.     The host cell of Claim 12, wherein said host cell has been engineered such that an endogenous glycoprotein-modifying glycosyl transferase is activated.

          17.     The host cell of Claim 16, wherein said endogenous glycoprotein-modifying glycosyl transferase has been activated by insertion of a regulated promoter element into the host cell chromosome.

18. The host cell of Claim 16, wherein said endogenous glycoprotein-modifying glycosyl transferase has been activated by insertion of a constitutive promoter element, a transposon, or a retroviral element into the host cell chromosome.

5 19. The host cell of Claim 11 or 13, further comprising at least one transfected nucleic acid encoding an antibody molecule, an antibody fragment, or a fusion protein that includes a region equivalent to the Fc region of an immunoglobulin.

20. The host cell of Claim 13, wherein at least one gene encoding a glycoprotein-modifying glycosyl transferase is operably linked to a constitutive promoter element.

21. The host cell of Claim 13, wherein at least one gene encoding a glycoprotein-modifying glycosyl transferase is operably linked to a regulated promoter element.

22. The host cell of Claim 21, wherein the regulated promoter element is a tetracycline-regulated promoter system, an ecdysone-inducible promoter system, a lac-switch promoter system, a glucocorticoid-inducible promoter system, a temperature-inducible promoter system, or a metallothionein metal-inducible promoter system.

23. The host cell of Claim 11, wherein said host cell is a hybridoma cell.

24. The host cell of Claim 11, wherein said engineered host cell is an engineered CHO cell, an engineered BHK cell, an engineered NS0 cell, or an engineered SP2/0 cell.

25. The host cell of Claim 11, wherein said host cell comprises at least one transfected nucleic acid encoding a chimeric anti-CD20 monoclonal antibody (C2B8).

26. The host cell of Claim 11, wherein said host cell comprises at least one transfected nucleic acid encoding a chimeric anti-human neuroblastoma monoclonal

antibody (chCE7).

27. The host cell of Claim 11, wherein said host cell comprises at least one transfected nucleic acid encoding a chimeric anti-human renal cell carcinoma monoclonal antibody (ch-G250), a humanized anti-HER2 monoclonal antibody, a chimeric anti-human colon, lung, and breast carcinoma monoclonal antibody (ING-1), a humanized anti-human 17-1A antigen monoclonal antibody (3622W94), a humanized anti-human colorectal tumor antibody (A33), an anti-human melanoma antibody (R24) directed against GD3 ganglioside, or a chimeric anti-human squamous-cell carcinoma monoclonal antibody (SF-25).

28. The host cell of Claim 11, wherein at least one nucleic acid molecule encodes  $\beta(1,4)$ -N-acetylglucosaminyltransferase III (GnT III).

29. The host cell of Claim 28, further comprising at least one nucleic acid encoding a  $\beta(1,4)$ -galactosyl transferase (GalT).

30. The host cell of Claim 28, further comprising at least one nucleic acid encoding a mannosidase II (Man II).

31. The host cell of Claim 28, further comprising at least one nucleic acid encoding a  $\beta(1,4)$ -galactosyl transferase (GalT) and at least one nucleic acid encoding a mannosidase II (Man II).

32. A method for producing a protein compound having enhanced Fc-mediated cellular cytotoxicity in a host cell, comprising:

(a) providing a host cell engineered to express a glycoprotein-modifying glycosyl transferase at a regulated level, chosen to improve glycosylation of a protein compound of interest, wherein said host cell expresses at least one nucleic acid encoding an antibody, an antibody fragment, or a fusion protein that includes a region equivalent to the Fc region of an immunoglobulin;

(b) culturing said host cell under conditions which permit the production of said protein compound having enhanced Fc-mediated dependent cellular

cytotoxicity; and

(c) isolating said protein compound having enhanced  
Fc-mediated cellular cytotoxicity.

33. The method of Claim 32, wherein in step (a), said host cell comprises  
at least one nucleic acid encoding a whole antibody.

34. The method of Claim 32, wherein in step (a), said host cell comprises  
at least one nucleic acid encoding an antibody fragment.

10

35. The method of Claim 32, wherein in step (a), said host cell comprises  
at least one nucleic acid encoding a fusion protein comprising a region resembling a  
glycosylated Fc region of an immunoglobulin.

36. The method of Claim 32, wherein said host cell comprises at least  
one transfected nucleic acid encoding a chimeric anti-CD20 monoclonal antibody  
(C2B8).

37. The method of Claim 32, wherein said host cell comprises at least  
one transfected nucleic acid encoding a chimeric anti-human neuroblastoma monoclonal  
antibody (chCE7).

38. The method of Claim 32, wherein said host cell comprises at least  
one transfected nucleic acid encoding a chimeric anti-human renal cell carcinoma  
monoclonal antibody (ch-G250), a humanized anti-HER2 monoclonal antibody, a  
chimeric anti-human colon, lung, and breast carcinoma monoclonal antibody (ING-1), a  
humanized anti-human 17-1A antigen monoclonal antibody (3622W94), a humanized  
anti-human colorectal tumor antibody (A33), an anti-human melanoma antibody (R24)  
directed against GD3 ganglioside, or a chimeric anti-human squamous-cell carcinoma  
monoclonal antibody (SF-25).

39. The method of Claim 32, wherein with at least one gene encoding a  
glycoprotein-modifying glycosyl transferase has been introduced into said host cell.

40. The host cell of Claim 32, wherein said host cell has been selected to carry a mutation triggering expression of an endogenous glycoprotein-modifying glycosyl transferase.

5 41. The host cell of Claim 40, wherein said host cell is the mutant lec10.

42. The host cell of Claim 32, wherein said host cell has been engineered such that an endogenous glycoprotein-modifying glycosyl transferase is activated.

10 43. The method of Claim 32, wherein said glycosyl transferase is a  $\beta$ (1,4)-N-acetylglucosaminyltransferase III (GnT III).

44. The method of Claim 43, wherein said GnT III is expressed using a constitutive promoter system.

15

45. The method of Claim 43, wherein said GnT III is expressed using a regulated promoter system.

20 46. The method of Claim 45, wherein said regulated promoter system is a tetracycline-regulated promoter system, an ecdysone-inducible promoter system, a lac-switch promoter system, a glucocorticoid-inducible promoter system, a temperature-inducible promoter system, or a metallothionein metal-inducible promoter system.

25 47. The method of Claim 32, wherein said glycosyl transferase is a  $\beta$ (1,4)-galactosyl transferase (GalT).

48. The method of Claim 47, wherein said GalT is expressed using a constitutive promoter system.

30 49. The method of Claim 47, wherein said GalT is expressed using a regulated promoter system.

50. The method of Claim 49, wherein said regulated promoter system is

a tetracycline-regulated promoter system, an ecdysone-inducible promoter system, a lac-switch promoter system, a glucocorticoid-inducible promoter system, a temperature-inducible promoter system, or a metallothionein metal-inducible promoter system.

5           51.     The method of Claim 32, wherein said host cell is engineered to express a plurality of nucleic acids encoding a glycosyl transferase at a regulated level, chosen to improve glycosylation of a protein compound of interest, wherein at least one nucleic acid encodes GnT III and at least one nucleic acid encodes a  $\beta$ (1,4)-galactosyl transferase (GalT).

10

          52.     The host cell of Claim 51, wherein a nucleic acid molecule comprising at least one gene encoding a glycoprotein-modifying glycosyl transferase has been introduced into said host cell.

15           53.     The host cell of Claim 51, wherein said host cell has been selected to carry a mutation triggering expression of at least one endogenous glycoprotein-modifying glycosyl transferase.

          54.     The host cell of Claim 51, 52, or 53, wherein said host cell has been  
20 engineered such that an endogenous glycoprotein-modifying glycosyl transferase is activated.

          55.     The method of Claim 32, wherein said host cell comprises a plurality of nucleic acids encoding a glycoprotein-modifying glycosyl transferase at a regulated  
25 level, chosen to improve glycosylation of a protein compound of interest, wherein at least one nucleic acid encodes GnT III and at least one nucleic acid encodes a mannosidase II (Man II).

          56.     The host cell of Claim 55, wherein a nucleic acid molecule  
30 comprising at least one gene encoding a glycoprotein-modifying glycosyl transferase has been introduced into said host cell.

          57.     The host cell of Claim 55, wherein said host cell has been selected to

carry a mutation triggering expression of at least one endogenous glycoprotein-modifying glycosyl transferase.

58. The host cell of Claim 55, 56, or 57, wherein said host cell has been  
5 engineered such that an endogenous glycoprotein-modifying glycosyl transferase is activated.

59. The method of Claim 32, wherein said host cell comprises a plurality  
of nucleic acids encoding a glycoprotein-modifying glycosyl transferase at a regulated  
10 level, chosen to improve glycosylation of a protein of interest, wherein at least one nucleic acid encodes GnT III, at least one nucleic acid encodes  $\beta(1,4)$ -galactosyl transferase (GalT), and at least one nucleic acid encodes mannosidase II (Man II).

60. The host cell of Claim 59, wherein a nucleic acid molecule  
15 comprising at least one gene encoding a glycoprotein-modifying glycosyl transferase has been introduced into said host cell.

61. The host cell of Claim 59, wherein said host cell has been selected to  
carry a mutation triggering expression of at least one endogenous glycoprotein-  
20 modifying glycosyl transferase.

62. The host cell of Claim 59, 60, or 61, wherein said host cell has been  
engineered such that an endogenous glycoprotein-modifying glycosyl transferase is  
activated.

25  
63. The method of Claim 32, wherein the expression level of at least one glycoprotein-modifying glycosyl transferase has been selected to produce an antibody molecule, an antibody fragment, or a fusion protein that includes a region equivalent to the Fc region of an immunoglobulin having enhanced Fc-mediated cellular cytotoxicity  
30 at a higher level than the Fc-mediated cellular cytotoxicity obtained from a different expression level of the same glycosyl transferase gene.

64. The method of Claim 63, wherein said expression levels are

determined by Western blot analysis using a glycosyl transferase-specific antibody.

65. The method of Claim 63, wherein said expression levels are determined by Northern blot analysis using a glycosyl transferase-specific probe.

5

66. The method of Claim 63, wherein said expression levels are determined by measuring the enzymatic activity of glycosyl transferase.

67. The method of Claim 63, wherein said expression levels are determined using a lectin which binds to biosynthetic products of glycoprotein-modifying glycosyl transferase.

68. The method of Claim 67, wherein the lectin is E<sub>4</sub>-PHA lectin.

69. The method of Claim 63, wherein said nucleic acid encoding said glycoprotein-modifying glycosyl transferase is operatively linked to a reporter gene, and wherein said expression levels of said glycosyl transferase are determined by measuring a signal correlated with the expression level of said reporter gene.

70. The method of Claim 69, wherein said reporter gene is transcribed together with at least one nucleic acid encoding said glycoprotein-modifying glycosyl transferase as a single RNA molecule and their respective coding sequences are linked either by an internal ribosome entry site (IRES) or by a cap-independent translation enhancer (CITE).

25

71. The method of Claim 69, wherein said reporter gene is translated together with at least one nucleic acid encoding said glycoprotein-modifying glycosyl transferase such that a single polypeptide chain is formed.

72. The method of Claim 63, wherein said nucleic acid encoding said glycoprotein-modifying glycosyl transferase is operatively linked to a reporter gene under the control of a single promoter, wherein said nucleic acid encoding said glycoprotein-modifying glycosyl transferase and said reporter gene are transcribed into

30

an RNA molecule which is alternatively spliced into two separate messenger RNA (mRNA) molecules, wherein one of the resulting mRNAs is translated into said reporter protein, and the other is translated into said glycoprotein-modifying glycosyl transferase.

5

73. The method of Claim 32, wherein said host cell further comprises a nucleic acid encoding a glycosidase.

74. An antibody having enhanced antibody dependent cellular  
10 cytotoxicity (ADCC) produced by the host cells of Claim 11.

75. A chimeric anti-CD20 monoclonal antibody (C2B8) having enhanced antibody dependent cellular cytotoxicity (ADCC) produced by the host cells of Claim  
25.

15

76. A chimeric anti-human neuroblastoma monoclonal antibody (chCE7) having enhanced antibody dependent cellular cytotoxicity (ADCC) produced using the host cells of Claim 26.

77. A chimeric anti-human renal cell carcinoma monoclonal antibody  
20 (ch-G250) having enhanced antibody dependent cellular cytotoxicity (ADCC) produced using the host cells of Claim 27.

78. A humanized anti-HER2 monoclonal antibody having enhanced  
25 antibody dependent cellular cytotoxicity (ADCC) produced using the host cells of Claim 27.

79. A chimeric anti-human colon, lung, and breast carcinoma  
monoclonal antibody (ING-1) having enhanced antibody dependent cellular cytotoxicity  
30 (ADCC) produced using the host cells of Claim 27.

80. A humanized anti-human 17-1A antigen monoclonal antibody  
(3622W94) having enhanced antibody dependent cellular cytotoxicity (ADCC)

produced using the host cells of Claim 27.

81. A chimeric anti-human squamous-cell carcinoma monoclonal antibody (SF-25) having enhanced antibody dependent cellular cytotoxicity (ADCC)  
5 produced using the host cells of Claim 27.

82. A humanized anti-human colorectal tumor antibody (A33), having enhanced antibody dependent cellular cytotoxicity (ADCC) produced using the host cells of Claim 27.  
10

83. An anti-human melanoma antibody (R24) directed against GD3 ganglioside, having enhanced antibody dependent cellular cytotoxicity (ADCC) produced using the host cells of Claim 27.

- 15 84. An antibody fragment that includes a region equivalent to the Fc region of an immunoglobulin, having enhanced Fc-mediated cellular cytotoxicity produced using the host cells of Claim 11.

85. A fusion protein that includes a region equivalent to the Fc region of  
20 an immunoglobulin, having enhanced Fc-mediated cellular cytotoxicity produced using the host cells of Claim 11.

1/16

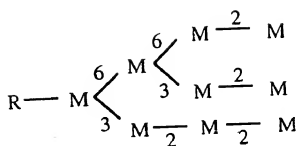
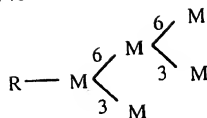
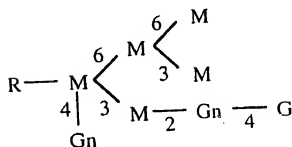
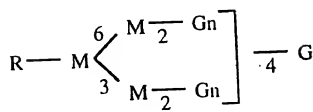
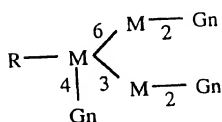
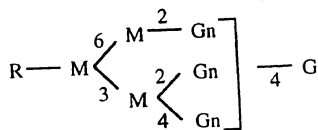
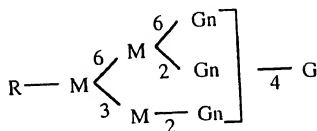
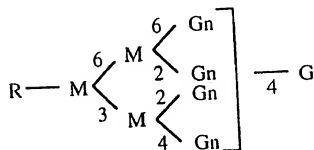
M<sub>9</sub>. High-mannoseM<sub>5</sub>. High-mannoseM<sub>5</sub>GnGn<sup>b</sup>G. Bisected hybridM<sub>3</sub>Gn<sub>2</sub>G. Bi-antennary complexM<sub>3</sub>Gn<sub>2</sub>Gn<sup>b</sup>. Bisected bi-antennary complexM<sub>3</sub>Gn<sub>3</sub>G. Tri-antennary complexM<sub>3</sub>Gn<sub>3</sub>'G. Tri'-antennary complexM<sub>3</sub>Gn<sub>4</sub>G. Tetra-antennary complex

FIG.1

2/16

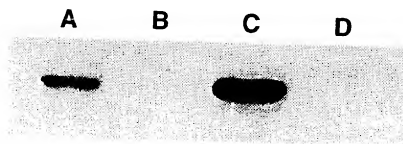


FIG.2

3/16

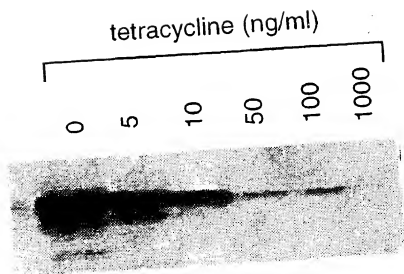


FIG.3

4/16

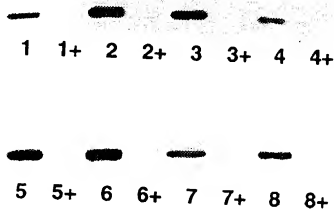


FIG. 4A

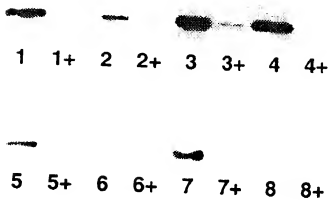


FIG. 4B

5/16

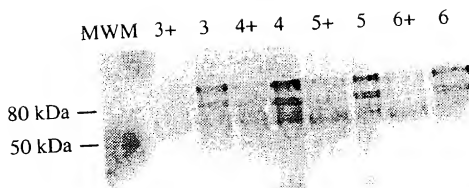


FIG. 5A

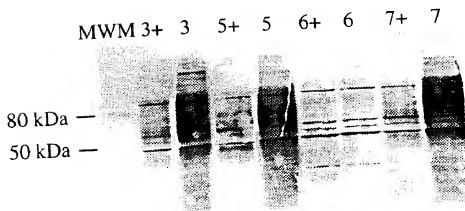


FIG. 5B

6/16

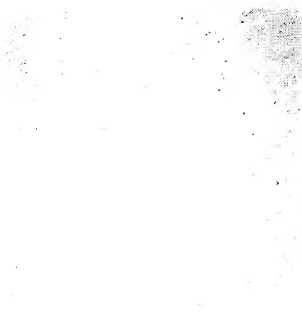


FIG. 6A

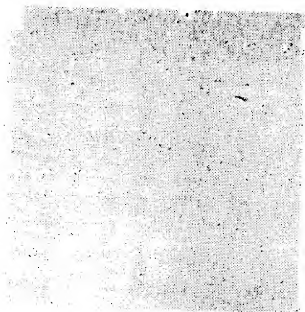


FIG. 6B

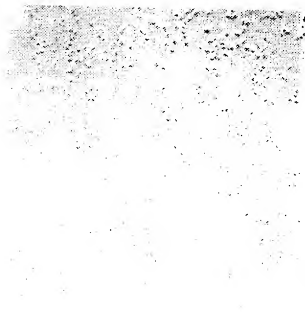


FIG. 6C

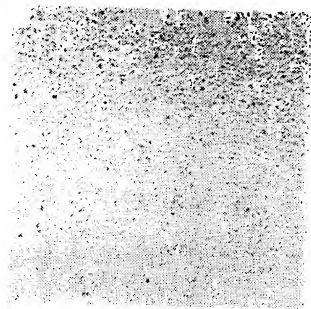


FIG. 6D

7/16

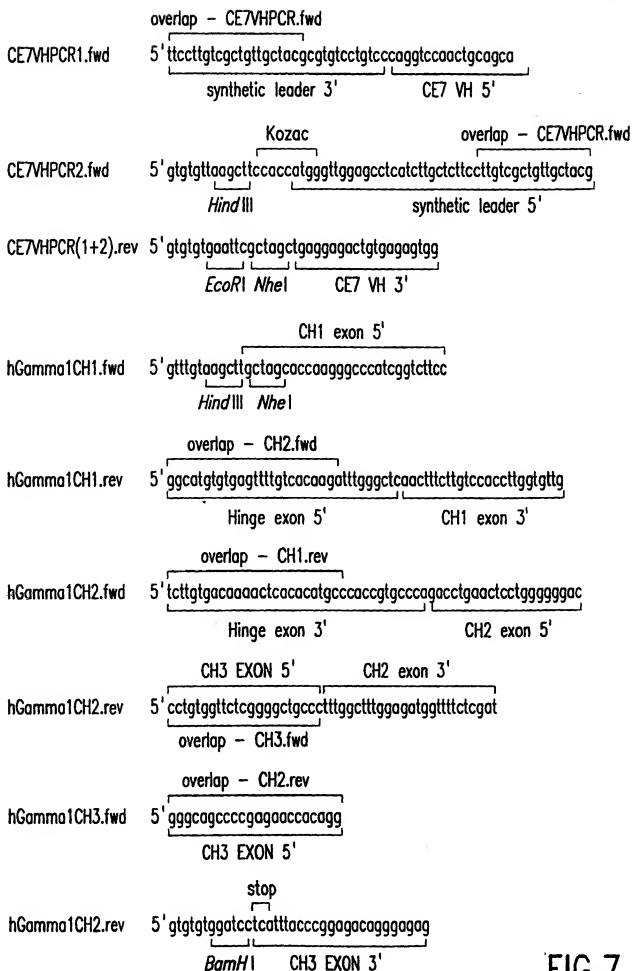


FIG 7

8/16

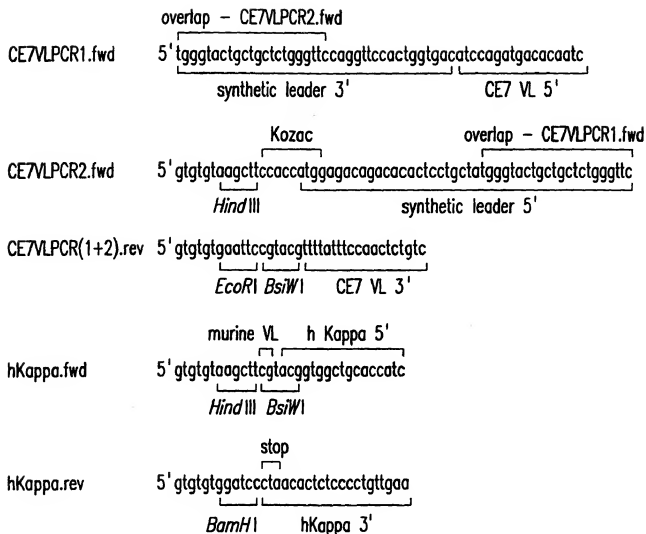


FIG.8

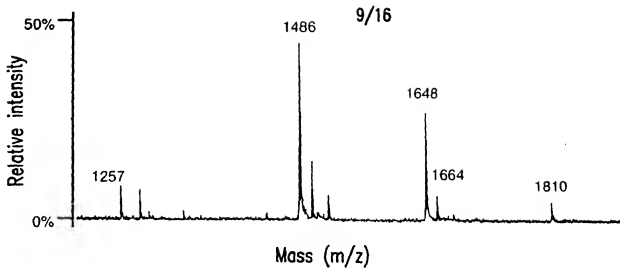


FIG. 9A

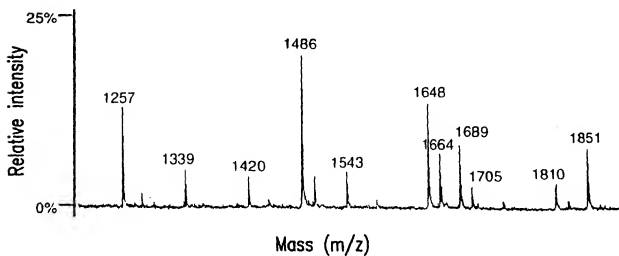


FIG. 9B

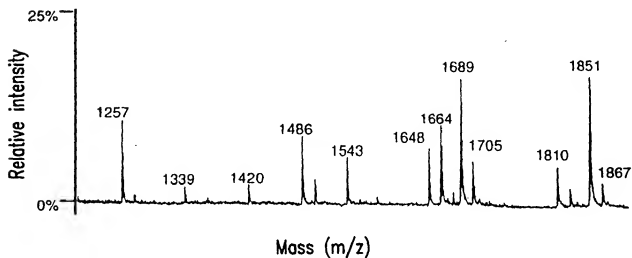


FIG. 9C

10/16

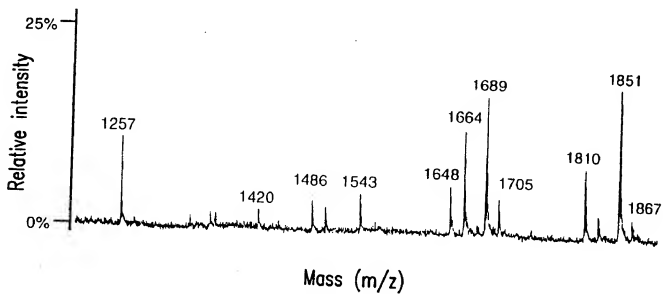
Mass ( $m/z$ )

FIG. 9D

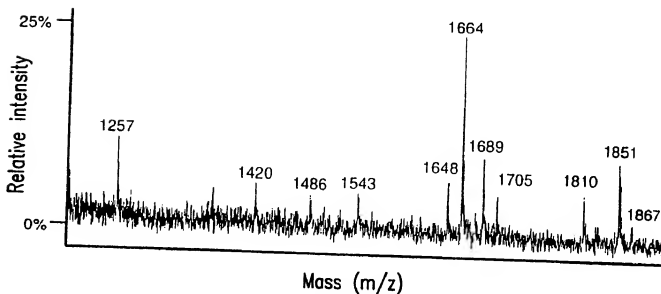
Mass ( $m/z$ )

FIG. 9E

11/16

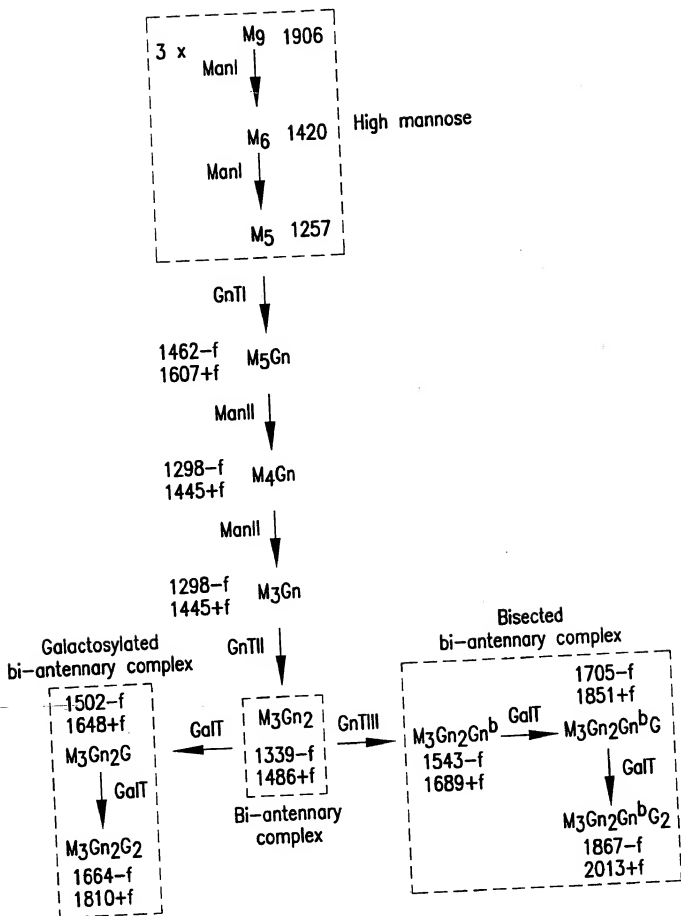


FIG.10

SUBSTITUTE SHEET (RULE 26)

12/16

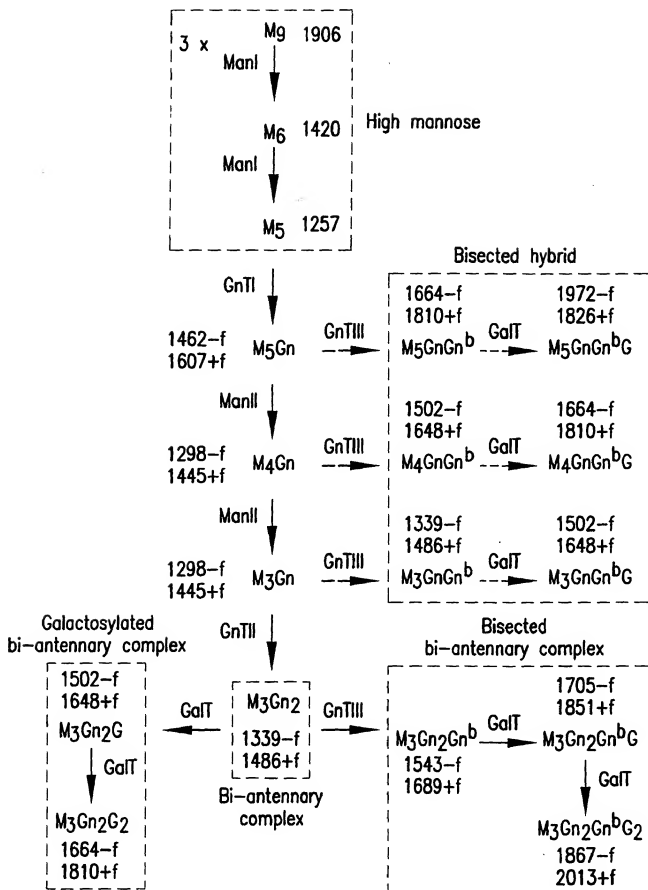


FIG.11

SUBSTITUTE SHEET (RULE 26)

13/16

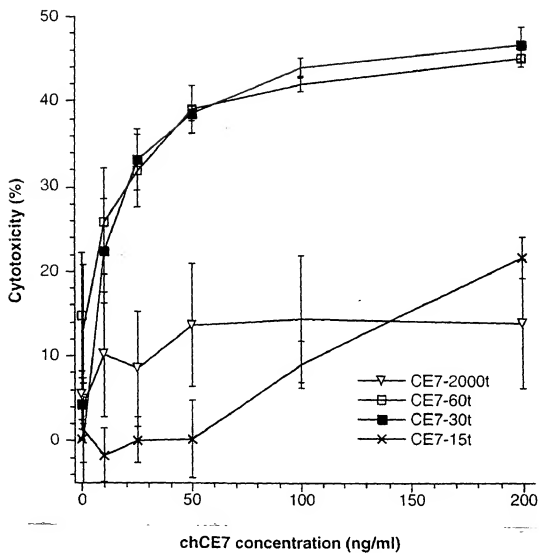


FIG.12

14/16

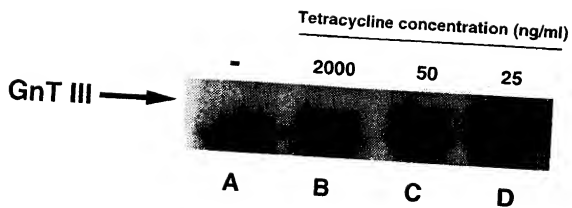


FIG. 13

15/16

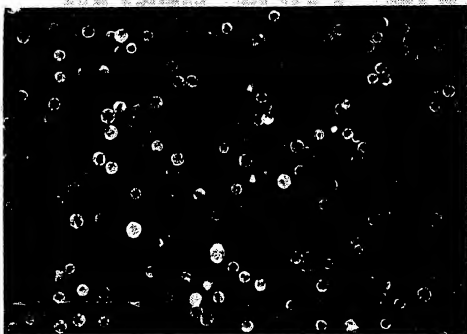


FIG. 14A

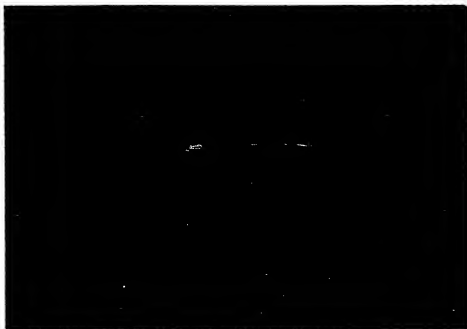
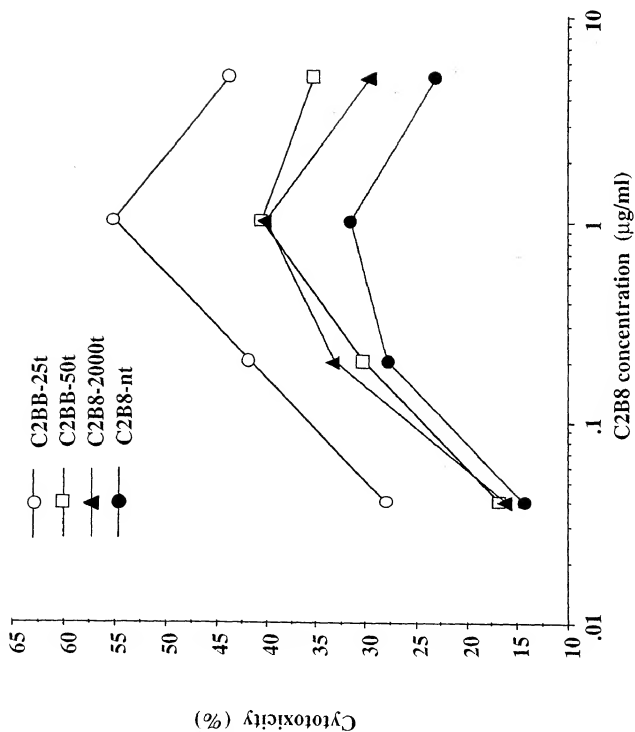


FIG. 14B

16/16

FIG. 15



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/08711

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC(6) : C07H 21/04; A61K 39/395, 38/43; C12N 15/00 US CL : Please See Extra Sheet According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) U.S. : 530/387.3; 424/133.1, 94.1, 435/328, 69.6, 91.1, 183; 536/23.2, 23.53 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched none Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) AFS. Medline, Biosis search terms: glycosyl transferase, antibody, immunoglobulin, carbohydrate, N-linked, CHO		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	UMANA et al. Engineered glycoforms of an antineuroblastoma IgG1 with optimized antibody-dependent cellular cytotoxic activity. Nature Biotechnology. February 1999, Vol. 17, pages 176-180, see entire document.	1-85
Y	WRIGHT et al. Effect of glycosylation on antibody function: implications for genetic engineering. Tibtech. 1997, Vol. 15, pages 26-32, see entire document.	1-85
Y	TRILL et al. Production of monoclonal antibodies in COS and CHO cells. Current Opinion in Biotechnology. 1995, Vol. 6, pages 553-555 and 558-560, see entire document.	4, 11-12, 19, 24, 32-35, 38, 63
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
* Special categories of cited documents *A* document defining the general state of the art which it not considered to be of particular relevance *E* earlier document published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art *A* document member of the same patent family	
Date of the actual completion of the international search 13 JULY 1999		Date of mailing of the international search report <b>17 AUG 1999</b>
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230		Authorized officer JULIE BURKE Telephone No. (703) 308-0196 JOYCE BRIDGERS PARALEGAL SPECIALIST CHEMICAL MATRIX

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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/08711

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	SBURLATI et al. Synthesis of Bisected glycoforms of recombinant IFN- $\beta$ by overexpression of $\beta$ -1,4-N-acetylglucosaminyltransferase III in Chinese Hamster Ovary cells. Biotechnology Progress. 16 April 1998, Vol. 14, pages 189-192, see entire document.	1-6, 8-10 --- 7, 11-85
X --- Y	SBURLATI et al. 'Novel glycoform of recombinant human IFN-beta by overexpression of N-acetyl glucosaminyltransferase III'. Glycoconjugate Journal. 1997, Vol. 14, No. 6, page 781. See Abstract P60.	1-6, 8-10 --- 7, 11-85
Y	AMSTUTZ et al. Production and characterization of a mouse/human chimeric antibody directed against human neuroblastoma. Int. J. Cancer. 1993, Vol. 53, pages 147-152, see entire document.	37, 76
Y	DURR et al. 'First Clinical results with the chimeric antibody chCE7 in neuroblastoma- targeting features and biodistribution data'. Eur J Nucl Med. 12 October 1993, Vol. 20, page 858, see Abstract 159.	37, 76

Form PCT/ISA/210 (continuation of second sheet)(July 1992)\*

INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/08711

A. CLASSIFICATION OF SUBJECT MATTER:

US CL :

530/387.3; 424/133.1, 94.1; 435/328, 69.6, 91.1, 183; 536/23.2, 23.53

